

# Instance Segmentation of Indoor Scenes Using a Coverage Loss

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**Abstract.** A major limitation of existing models for semantic segmentation is the inability to identify individual instances of the same class: when labeling pixels with only semantic classes, a set of pixels with the same label could represent a single object or ten. In this work, we introduce a model to perform both semantic and instance segmentation simultaneously. We introduce a new higher-order loss function that directly minimizes the coverage metric and evaluate a variety of region features, including those from a convolutional network. We apply our model to the NYU Depth V2 dataset, obtaining state of the art results.

**Keywords:** Semantic Segmentation, Deep Learning.

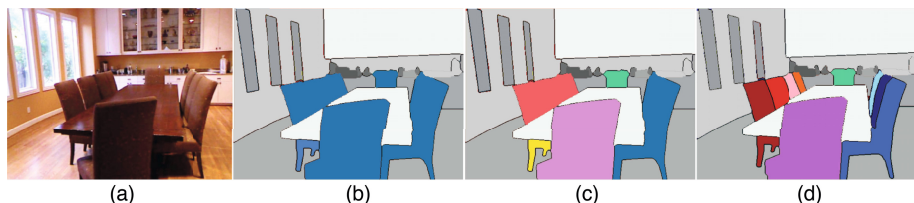
## 1 Introduction

Semantic segmentation models have made great strides in the last few years. Following early efforts to densely label scenes [1], numerous approaches such as reasoning with multiple segmentations [2], higher-order label constraints [3] and fast inference mechanisms [4] have advanced the state of the art considerably. One limitation in all of these methods, however, is their inability to differentiate between different *instances* of the same class. This work introduces a novel algorithm for simultaneously producing both a semantic and instance segmentation of a scene. More specifically, given an image, we produce both a semantic label for every pixel and an instance label that differentiates between two instances of the same class, as illustrated in Fig. 1.

The ability to differentiate between instances of the same class is important for a variety of tasks. In image search, one needs to understand instance information to properly understand count-based searches: “three cars waiting at a light” should retrieve different results from “a single car waiting at the light”. Robots that interact with real world environments must understand instance information as well. For example, when lifting boxes a robot needs to distinguish between a single box and a stack of them. Finally, being able to correctly infer object instances drastically improves performance on high level scene reasoning tasks such as support inference [5] or inferring object extent [6] [7].

Unfortunately, searching over the space of all semantic and instance segmentations for a given image is computationally infeasible. Like many previous works in semantic segmentation [8] [4] [9], we use a heuristic to limit the search space by first performing a hierarchical segmentation of the image. This produces a set of nested segments that form a tree, referred to as a *segmentation tree*.

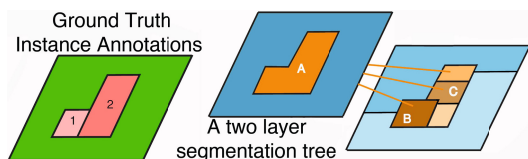
Our goal during inference is to (a) find the best non-overlapping subset of these segments such that each pixel is explained by a single region from the tree – referred to as *cutting the segmentation tree* – and (b) each selected region is labeled with a semantic label denoting the class and instance ID (e.g. chair #2). The inference procedure finds the cut through the segmentation tree that maximizes these two objectives. During learning, we will seek to maximize the Coverage Score [10], a measure of how similar two segmentations are, between our inferred semantic/instance segments and those produced by human annotators.



**Fig. 1.** An illustration of the limits of semantic segmentation: (a) the input image. (b) a perfect semantic segmentation; note all of the chair pixels are labeled blue. (c) a naive instance segmentation in which all connected components of the same class are considered separate instances of the chair class. (d) a correct instance segmentation, which correctly reasons about instances within contiguous segments and across occlusions.

While at a high level this approach is similar to many semantic segmentation methods, two main factors complicate the joint learning of semantic-instance segmentation models using segmentation trees:

**The Ground Truth Mapping Problem:** When using a reduced search space, such as one provided by a given hierarchical segmentation, it is extremely rare that the exact ground truth regions are among the set of bottom-up *proposed* regions, due to mistakes made at detecting object boundaries. Therefore, during training, we must be able to map the human-provided labels to a set of surrogate labels, defined on the set of proposed regions.



**Fig. 2.** Computing the best possible set of instances that overlap with the ground truth cannot be computed independently per ground truth region. For example, ground truth region 2 best overlaps with proposed region A and ground truth region 1 best overlaps with proposed region B. But both proposed regions A and B cannot be selected at the same time because they overlap.

Obtaining these surrogate labels is problematic for semantic-instance segmentation. The constraint that the regions must not non-overlap means the best possible subset of regions cannot be computed independently as the inclusion of

one region may exclude the use of another (see Figure 2). Therefore, computing the ‘best possible’ instance segmentation with respect to a segmentation tree is an optimization problem in its own right.

**Identifying a Good Loss Function:** In semantic segmentation, it is easy to penalize mistakes: a region is either assigned the correct or incorrect label. In our setting, we require a more continuous measure, since an inferred region might not exactly match the ‘best’ region, but it might be extremely close (e.g. differing by a single pixel). While a continuous higher order loss function for binary segmentation has previously been proposed [11], it cannot handle the multiple ground truth regions encountered in complex scenes.

**Our Contributions:** To summarize, we introduce:

1. A novel and principled structured learning scheme for cutting segmentation trees.
2. A new higher order loss, appropriate for semantic-instance segmentation, which directly optimizes the Coverage score.
3. An efficient structured learning algorithm based on block-coordinate Frank Wolfe and a novel integer linear program for loss-augmented inference
4. A quantitative analysis of the use of features from state-of-the-art convolutional networks and their application to segmenting densely labeled RGB-D scenes.

## 2 Related Work

For certain classes of objects, such as cars or pedestrians, instance information can be recovered from detectors. While the state of the art in object localization [12] has improved dramatically, they perform best with large objects that occupy a significant portion of the image plane and struggle when the objects exhibit large amounts of occlusion. Furthermore, they do not in themselves produce a segmentation.

Motivated by the observation that a single segmentation of an image is unlikely to produce a perfect result, numerous approaches [13] [14] [15] [16] make use of multiple segmentations of an image. These approaches differ in how they use the various segmentations and whether the regions proposed are strictly hierarchical or structureless. Starting with [13], various efforts [3] [17] have used multiple independent segmentations in a pixel labeling task. While these works are ultimately interested in per-pixel semantic labels, ours reasons about which regions to select or ignore and outputs both semantic and instance labels.

Several works [18] [19] use a structureless bag of regions to perform segmentation in which inference comprises of a search for the best non-overlapping set of regions that respects object boundaries. However, neither work uses semantics for reasoning. Rather than use arbitrary or structureless regions as input, an increasing number of approaches [2] [8] [4] [9] have been introduced that utilize hierarchical segmentations to improve semantic segmentation. Like our approach, these models are trained to cut a segmentation tree. The major difference between these works and our own is that the product of these algorithms do not differentiate between instances of objects.

Higher order losses for segmentation have also been previously explored. Tarlow et al. [11] introduce the Pascal Loss which smoothly minimizes the overlap score [20] of a single foreground/background segmentation. The Pascal Loss is closely related to the Coverage loss. A crucial difference between the two is that in the case of Pascal Loss, the best overlapping region is specified a priori (there is only one region, the foreground), whereas in the Coverage Loss, the best overlapping region can only be computed by jointly reasoning over every proposed region.

### 3 Segmentation Trees

Because the space of all semantic-instance segmentations is so large, we must limit the solution space to make the problem tractable. Like previous work in semantic segmentation [2] [8] [4] [9], we make use of hierarchical segmentations, referred to as segmentation trees, to limit the search space to a more manageable size. A set of regions or segments  $S = \{s_1, \dots, s_R\}$  forms a valid segmentation tree  $\mathcal{T} = \{S, P\}$  for an image  $\mathcal{I}$  if it satisfies the following constraints:

**Completeness:** Every pixel  $\mathcal{I}_i$  is contained in at least one region of  $S$ .

**Tree Structure:** Each region  $s_i$  has at most one parent:  $P(s_i) \in \{\emptyset, s_j\}, j \neq i$

**Strict Nesting:** If  $P(s_i) = s_j$ , then the pixels in  $s_i$  form a strict subset of  $s_j$

A cut  $\mathcal{T}(A)$  of the tree selects a subset  $S_A \subset S$  of segments that form a *planar segmentation*, a map  $M : \mathcal{I}_i \mapsto \mathbb{Z}$  from each pixel  $\mathcal{I}_i$  to exactly one region. The goal of this work is to take as input a segmentation tree and cut it such that the resulting planar segmentation is composed of a set of regions, each of which corresponds to a single object instance in the input image.

During training, we will make use of two types of segmentation trees: standard segmentation trees and *Biased Segmentation Trees*.

#### 3.1 Standard Segmentation Trees

We use the term *standard segmentation tree* to refer to a hierarchical segmentation created by iteratively merging similar regions based on local boundary cues until a pre-specified stopping criteria is met. While various schemes [21] [10] have been introduced to perform this operation, we use the method of [22]. To summarize, given an image  $\mathcal{I}$  of size  $H \times W$ , we begin by producing a grid  $\mathcal{L}_{H,W}$  where each pixel corresponds to a node in the graph and edge weights between neighboring pixels indicate the probability that each neighboring pair of pixels are separated by a region boundary. As in [22], the edge weights are computed by first extracting gPb features [23] and calculating the Ultrametric Contour Map (UCM). To create a segmentation at a particular scale, edges with weights lower than some threshold are removed and the induced regions are the connected components of the resulting graph. This process is repeated with various thresholds to create finer or coarser regions. The unique superset of regions produced by the various thresholded region maps form a tree  $\mathcal{T}$  such that each region is represented as a node and any pair of region  $r_i, r_j$  are the children of region  $r_k$  if  $r_i$  and  $r_j$  are both sub-regions of  $r_k$ .

### 3.2 Biased Segmentation Trees

Using only standard segmentations trees, one cannot properly evaluate whether the limitation of a particular tree cutting algorithm is the quality of the regions it has to select from or the capacity of the model itself. To separate these sources of error, we need a tree that contains, as a possible cut, a specified planar segmentation, which we refer to as a *biased segmentation tree*. In particular, we wish to take as input a ground truth planar segmentation provided by a human labeler and create a segmentation tree that contains the ground truth regions as a possible cut. With such trees, we are able to properly evaluate tree-cutting model errors independent of segmentation tree creation errors.

To create biased segmentation trees, we first threshold the UMC to obtain a base set of regions. Next, we split these regions further by taking the intersection of every ground truth region with the segmented regions. Edge weights for newly introduced boundaries are computed using the average gPb values for each pixel along each boundary. Any boundary aligned with a ground truth edge is given a weight of 0. Next, we use the same algorithm as in Section 3.1 to produce several fine segmentations culminating in the ground truth segmentation. Finally, a coarser set of regions is obtained by repeating this process starting from the ground truth regions. In this final step, any boundary inside a ground truth region is given a weight of 0 and any boundary aligned with a ground truth region is computed by averaging the gPb values along the boundary pixels.

## 4 Cutting Instance Segmentation Trees

Given an image and a segmentation tree, our goal is to find the best cut of the tree such that each of the resulting regions corresponds to a single *instance* of an object and is labeled with the appropriate semantic class.

Let a cut of the tree be represented by  $\{\mathbf{A} : A_i \in \{0, 1\}, i = 1..R\}$ , a vector indicating whether or not each of the  $R$  regions in the tree are selected. Let  $\{\mathbf{C} : C_i \in \{1..K\}, i = 1..R\}$  be a vector indicating the semantic class (out of  $K$  classes) of each region. Finally let  $y = \{\mathbf{A}, \mathbf{C}\}$  be the combined output of semantic labels and region instances.

### 4.1 Model

We perform structured prediction by optimizing over the space  $\mathcal{Y}$  of region selections and semantic class assignments for a given segmentation tree. Formally, we predict using  $y^* = \arg \max_{y \in \mathcal{Y}} w^T \phi(x, y)$ , where  $x$  represents the input image,  $y$  encapsulates both the region selection vector  $A$  and class assignments  $C$ , and  $w$  represents a trained weight vector.  $\phi$  is a feature function on the joint space of  $x$  and  $y$  such that  $w^T \phi(x, y)$  can be interpreted as measuring the compatibility of  $x$  and  $y$ .  $w^T \phi(x, y)$  can be decomposed as follows:

$$w_{\text{reg}}^T \phi_{\text{reg}}(x, y) + \sum_{k=1}^K w_{\text{sem:k}}^T \phi_{\text{sem:k}}(x, y) + w_{\text{pair}}^T \phi_{\text{pair}}(x, y) + \phi_{\text{tree}}(y)$$

The **generic region features** encode class-agnostic appearance features of selected regions:  $\phi_{\text{reg}}(x, y) = \sum_{i=1}^R f_i^{\text{reg}}[A_i = 1]$ , where  $f_i^{\text{reg}}$  are region features extracted from region  $i$  and  $[\dots]$  is the indicator function. The **semantic compatibility** features capture class-specific features of each region:  $\phi_{\text{sem:k}}(x, y) = \sum_{i=1}^R f_i^{\text{sem}}[A_i = 1 \wedge C_i = k]$ , where  $k$  is the semantic class and  $f_i^{\text{sem}}$  are semantic features extracted from region  $i$ .

The **pairwise** features  $\phi_{\text{pair}}(x, y)$  are given by  $\sum_{ij \in \mathcal{E}} f_{ij}^{\text{pair}}[A_i = 1 \wedge A_j = 1]$ , where  $\mathcal{E}$  is the set of all adjacent regions and  $f_{ij}^{\text{pair}}$  are pairwise features extracted along the boundary between regions  $i$  and  $j$ . Finally, the **tree-consistency function**  $\phi_{\text{tree}}(y)$  ensures that exactly one region along every path from the leaf nodes to the root node of the tree is selected:

$$\phi_{\text{tree}}(y) = \sum_{\gamma \in \Gamma} -\infty [1 \neq \sum_{i \in \gamma} 1[y \cdot A_i = 1]], \quad (1)$$

where  $\Gamma$  is the set of paths in the tree from the leaves to the root.

## 4.2 Learning

Let  $D = \{(x^{(1)}, y^{(1)}), \dots, (x^{(N)}, y^{(N)})\}$  be a dataset of pairs of images and labels where  $y^{(i)} = \{\mathbf{A}, \mathbf{C}\}$  comprises the best assignment (Section 6) of segments from the pool and semantic class labels for image  $i$ . We use a Structured SVM [24] formulation with margin re-scaling to learn weight vector  $w$ :

$$\begin{aligned} \min_{w, \xi \geq 0} \quad & \frac{1}{2} w^T w + \frac{\lambda}{N} \sum_{i=1}^N \xi_i \\ \text{s.t.} \quad & w \cdot [\phi(x^{(n)}, y^{(n)}) - \phi(x^{(n)}, y)] \geq \Delta(y, y^{(n)}) - \xi_n \quad \forall n, y \in \mathcal{Y} \end{aligned} \quad (2)$$

where  $\xi_i$  are slack variables for each of the training samples  $1..N$ , and  $\lambda$  is a regularization parameter. The definition of the loss function  $\Delta(y, y^{(n)})$  is discussed in more detail in Section 5.

## 4.3 Inference

We first show how the inference task,  $\arg \max_{y \in \mathcal{Y}} w^T \phi(x, y)$ , can be formulated as an integer linear program. To do so, we introduce binary variable matrices to encode the different states of  $\mathbf{A}$  and  $\mathbf{C}$  and auxiliary vector variables  $p$  to encode the pairwise states. Let  $a \in \mathbb{B}^{R \times 2}$  encode the states of  $\mathbf{A}$  such that  $a_{i,0} = 0$  indicates that region  $i$  is inactive and  $a_{i,1} = 1$  indicates that region  $i$  is active. Let  $c_{i,k}$  encode the states of  $\mathbf{C}$  such that  $c_{i,k} = 1$  if  $\mathbf{C}_i = k$  and 0 otherwise. Finally, let  $p \in \mathbb{B}^{E \times 1}$  be a vector which encodes whether any neighboring pair of regions are both selected where  $E$  is the number of neighboring regions. Our integer linear program is then:

$$\arg \max_{a,c,p} \sum_{i=1}^R \theta_i^r a_{i,1} + \sum_{i=1}^R \sum_{k=1}^K \theta_{i,k}^s c_{i,k} + \sum_{ij \in \mathcal{E}} \theta_{ij}^p p_{ij} \quad (3)$$

$$\text{s.t. } a_{i,0} + a_{i,1} = 1 \quad (4)$$

$$\sum_{k=0}^K c_{i,k} = 1, \quad a_{i,0} = c_{i,0} \quad \forall i \in R \quad (5)$$

$$\sum_{i \in \gamma} a_{i,1} = 1 \quad \forall \gamma \in \Gamma \quad (6)$$

$$p_{ij} \leq a_{i,1}, \quad p_{ij} \leq a_{j,1}, \quad a_{i,1} + a_{j,1} - p_{ij} \leq 1 \quad \forall i, j \in \mathcal{E} \quad (7)$$

with generic region costs  $\theta_i^r = w_{\text{reg}}^T f_i^{\text{reg}}$ , semantic compatibility costs  $\theta_{i,k}^s = w_{\text{sem:k}}^T f_i^{\text{reg}}$  and pairwise costs  $\theta_{ij}^p = w_{\text{pair}}^T f_{ij}^{\text{pair}}$ .

Equation 4 ensures that each region is either active or inactive. Equation 5 ensures that each region can take on at most a single semantic label (or no semantic label if the region is inactive). Equation 6 ensures that exactly one region in each of the paths of the tree (from each leaf to most coarse node) is active. Equation 7 ensures that the auxiliary pairwise variable  $p_{ij}$  is on if and only if both regions  $i$  and  $j$  are selected.

When there are no pairwise features we can give an efficient dynamic programming algorithm to exactly solve the maximization problem, having running time  $O(RK)$ . When pairwise features are included, this algorithm could be used together with dual decomposition to efficiently perform test-time inference [25]. However, the integer linear program formulation is particularly useful for loss-augmented inference during learning (see Section 5.1).

## 5 Coverage Loss

Let  $G = \{r_1^G, \dots, r_{|G|}^G\}$  be a set of ground truth regions and  $S = \{r_1^S, \dots, r_{|S|}^S\}$  be a set of proposed regions for a given image. For a given pair of regions  $r_j$  and  $r_k$ , the overlap between them is defined using the intersection over union score:  $\text{Overlap}(r_j, r_k) = (r_j \cap r_k) / (r_j \cup r_k)$ . The weighted coverage score [10] measures the similarity between two segmentations:

$$\text{Coverage}_{\text{weighted}}(G, S) = \frac{1}{|\mathcal{I}|} \sum_{j=1}^{|G|} |r_j^G| \max_{k=1..|S|} \text{Overlap}(r_j^G, r_k^S). \quad (8)$$

where  $|\mathcal{I}|$  is the total number of pixels in the image and  $|r_j^G|$  is the number of pixels in ground truth region  $r_j^G$ . We define the Coverage Loss function to be the amount of coverage score unattained by a particular segmentation:

$$\Delta_{W_1}(y, \bar{y}) = \frac{1}{|\mathcal{I}|} \sum_{j=1}^{|G|} |r_j^G| \left(1 - \max_{k: \bar{A}_k=1} \text{Overlap}(r_j^G, r_k^S)\right) \quad (9)$$

where  $\bar{y}$  is a predicted cut of the segmentation tree and  $\bar{A} \in \mathbb{B}^{|S| \times 1}$  the corresponding vector indicating which regions are selected.

### 5.1 Integer Program Formulation with Loss Augmentation

During training, most structured learning algorithms [26] [27] need to solve the *loss augmented inference* problem, in which we seek to obtain a high energy prediction that also has high loss:

$$y^* = \arg \max_{\bar{y} \in \mathcal{Y}} \Delta(y^{(i)}, \bar{y}) + w^T \phi(x, \bar{y}) \quad (10)$$

To solve the loss augmented inference problem, we introduce an additional auxiliary matrix  $o \in \mathbb{B}^{G \times R}$  where  $G$  represents the number of ground truth regions and  $R$  represents the number of regions in the tree. The variable  $o_{gj}$  will be 1 if region  $r_j$  is the argmax in (9) for the ground truth region  $g$  (specified by  $y^{(i)}$ ), and 0 otherwise. To ensure this, we add an additional set of constraints:

$$o_{gi} \leq a_{i,1} \quad \forall g \in G, \forall i \in R \quad (11)$$

$$\sum_{i=1}^R o_{gi} = 1 \quad \forall g \in G \quad (12)$$

$$o_{gi} + a_{j,1} \leq 1 \quad \forall g \in G, i, j \in R \text{ s.t. } \text{Overlap}(s_g, s_j) > \text{Overlap}(s_g, s_i) \quad (13)$$

Equation (11) ensures that a prediction region  $i$  can only be considered the maximally overlapping region with ground truth region  $g$  if it is a selected region. Equation (12) ensures that every ground truth region is assigned exactly 1 overlap region. Finally, Equation (13) ensures that prediction region  $i$  can only be assigned the maximal region of  $g$  if and only if no other region  $j$  that has greater overlap with  $g$  is active.

The ILP objective is then altered to take into account the coverage loss:

$$\arg \max_{a,c,p,o} \sum_{i=1}^R \theta_i^r a_{i,1} + \sum_{i=1}^R \sum_{k=1}^K \theta_{i,k}^s c_{i,k} + \sum_{ij \in \mathcal{E}} \theta_{ij}^p p_{ij} + \sum_{g=1}^G \sum_{i=1}^R \theta_{gi}^o o_{gi} \quad (14)$$

where  $\theta_{gi}^o = \text{Overlap}(r_g^G, r_i^S) - \text{Overlap}(r_g^G, r_i^S)$  encodes the loss incurred if region  $i$  is selected where  $r_i^S$  is the region specified by the surrogate labeling with the greatest overlap with ground truth region  $g$ .

## 6 Solving the Ground Truth Mapping Problem

Because the ground truth semantic and instance annotations are defined as a set of regions which are not among our segmentation tree-produced regions, we must map the ground truth annotations onto our segmented regions in order to learn. To do so, we build upon the ILP formulation described in the previous section.

Formally, given a ground truth set of instance regions  $G = \{r_1^g, \dots, r_{R_G}^g\}$  and a proposed tree of regions  $S = \{r_1, \dots, r_{R_P}\}$ , the cut of the tree that maximizes the weighted coverage score is given by the following ILP:

$$\arg \min_{a,o} \sum_{g=1}^G \sum_{i=1}^R \theta_{gi}^o o_{gi}$$



subject to  $a_{i,0} + a_{i,1} = 1 \forall i \in R$ ,  $\sum_{i \in \gamma} a_{i,1} = 1 \forall \gamma \in \Gamma$ , and Equations (11),(12), and (13).

## 6.1 Learning with Surrogate Labels

When the segmentation trees contain the ground truth regions as a possible cut, the minimal value of the Coverage Loss (Equation 9) is 0. However, in practice, segmentation trees provide a very small sample from the set of all possible regions and it is rare that the ground truth regions are among them. Consequently, we must learn to predict a set of surrogate labels  $\{z^{(1)}, \dots, z^{(N)}\}$  instead.

We modify the loss used in training to ensure that the magnitude of the surrogate loss of a prediction  $\bar{y}_i$  is defined relative to the best possible cut  $z^{(i)}$ :

$$\Delta_{W_2}(z^{(i)}, \bar{y}) = \Delta_{W_1}(y^{(i)}, \bar{y}) - \Delta_{W_1}(y^{(i)}, z^{(i)}) \quad (15)$$

Note that the first term in the loss can be pre-computed and has the effect of scaling the loss such that the margin requested during learning is defined with respect to the best attainable cut in a given segment tree. It should be clear then when  $y^{(i)} = z^{(i)}$ , that  $\Delta_{W_2} = \Delta_{W_1}$ .

## 7 Convolutional Network Features for Dense Segmentation

While Convolutional Neural Networks (CNNs) have shown impressive performance in Classification [28] and Detection [12] tasks, it has not yet been demonstrated that CNN features improve dense segmentation performance. Recently [29] showed how to use a pretrained convolutional network to improve the ranking of foreground/background segmentations on the PASCAL VOC dataset. It is unclear, however, whether a similar scheme can be successfully applied to densely labeled scenes, where many of the images can only be identified via contextual cues. Furthermore, because CNNs are generally trained on RGB data (no RGBD data exists with enough labeled examples to properly train these deep models) it is unclear whether CNN features provide an additional performance boost when combined with depth features. To address these questions, we compare state of the art hand-crafted RGB+D features with features derived from the aforementioned CNN models, as well as combinations of the two feature sources. As in [29], we extract CNN-based region features as follows: for each arbitrarily shaped region, we first extract a sub-window that tightly bounds the region. Next, we feed the sub-window to the pre-trained network of [28]. We treat the activations from the first fully connected hidden layer of the model as the features for the region. While we experimented with using the final pooled convolutional layer and the second fully connected layer, we did not observe a major difference in performance when using these alternative feature sources.

Because a particular sub-window may contain multiple objects, there exists an inherent ambiguity with regard to which object in a sub-window is being

classified by the CNN. To address this ambiguity, we experimented with three types of masking operations performed on each sub-window before the CNN features were computed. Firstly, we perform no masking (Normal Windows). Secondly, we blur the subwindow (Mask Blurred Windows) with a blur kernel whose radius increases with respect to the euclidean distance from the region mask. This produces a subwindow that appears *focused* on the object itself. Finally, we use the masking operation from [29] (Masked Windows) in which any pixels falling outside the mask are set to the image means so that the background regions have zero value after mean-subtraction.

We additionally experiment with a superset of region features from [30] and [5] as well as compare the convolutional network features to Sparse Coded SIFT features from [31]. Our pairwise region features are a superset of pairwise region and boundary features from [30] [5].

## 8 Experiments

To evaluate our instance-segmentation scheme, we use the NYU Depth V2 [5] dataset. While datasets like Pascal [20], Berkeley [32], Stanford Background [33] and MSRC [1] are frequently used to evaluate segmentation tasks, Stanford Background and MSRC do not provide instance labels and the Berkeley dataset provides neither semantic nor instance labels. Pascal only contains a few segmented objects per scene, mostly at the same scale. Conversely the NYU Depth dataset has densely labeled scenes with instance masks for objects of highly varying size and shape.

While the original NYU V2 dataset has over 800 semantic classes, we mapped these down to 20 classes: cabinet, bed, table, seating, curtain, picture, window, pillow, books, television person, sink, shelves, cloth, furniture, wall, ceiling, floor, prop and structure. We use the same classes for evaluating both the CNN features and the semantic instance segmentation.

### 8.1 Evaluating Segmentation Tree Proposal Methods

While numerous hierarchical segmentation strategies have been proposed, it has not been previously possible to estimate the upper bound coverage score of a particular segmentation tree. Our loss formulation addresses this by allowing us to directly measure the Coverage upper bound (CUB) scores achievable by a particular hierarchical segmentation. We evaluate several hierarchical segmentation proposal schemes in Table 1 by computing for each the surrogate labels that maximize the weighted coverage score. Unsurprisingly the depth signal raises the CUB score. [30] outperforms [5] both in terms of CUB score and requires far fewer regions. [21] and [10] both achieve the same weighted CUB scores but [21] is a bit more efficient requiring fewer regions. We use the method from [30] for all subsequent experiments.

### 8.2 Evaluating CNN Features

To evaluate the CNN features, we used the ground truth instance annotations from the NYU Depth V2 dataset. By evaluating on the ground truth regions,

**Table 1.** Segmentation results on the testing set

Input	Algorithm	Weighted CUB	Average Number of Regions
RGB	Hoiem et al [10]	50.7	$117.7 \pm 36.7$
RGB	Zhile and Shakhnarovich [21]	50.7	$102.4 \pm 56.4$
RGB+D	Silberman et al (RGBD) [5]	64.1	$210.0 \pm 106.0$
RGB+D	Gupta et al [30]	70.6	$62.5 \pm 26.6$

we can isolate errors inherent in evaluating poor regions from the abilities of the descriptor as well as avoid the ground truth mapping problem for assigning semantic labels. To prepare the inputs to the CNN we perform the following operations: For each instance mask in the dataset (a binary mask for a single object instance), we compute a tight bounding box around the object plus a small margin (10% of the height and width of the mask). If the bounding box is smaller than  $140 \times 140$ , we use a  $140 \times 140$  bounding box and upsample to  $244 \times 244$ . Otherwise, we rescale the image to  $244 \times 244$ . During training, we use each original sub-window and its mirror image at several scales (1.1, 1.3, 1.5, 1.7). Finally, we ignore regions whose original size is smaller than  $20 \times 20$ . We computed a random train/val/test split using the original 1449 images in the dataset of equal sizes. After performing each of the aforementioned masking operations and computing the CNN features from each subwindow, we normalize each output feature from the CNN by subtracting the mean and dividing by the variance, computed across the training set. We then train a L2-regularized logistic regressor to predict the correct semantic labels of each instance. The regularization parameters were chosen to maximize accuracy on the validation set and are found in the supplementary material.

As shown in Table 2, the CNN features perform surprisingly well, with Masked Windows computed on RGB only beating both RGBD Features and the combination of sparse coded SIFT and RGBD Features. Our Mask-Blurring operation does not do as well as Masking, with the combination of RGBD Features and CNN Features extracted from Masked regions performing the best.

**Table 2.** A comparison on region-feature descriptors on ground truth regions

Features	Accuracy	Conf Matrix Mean Diagonal
Normal Windows	48.8	23.5
Mask-Blurred Windows	56.6	36.8
Masked Windows [29]	60.8	42.3
RGBD Features [5]	59.9	32.1
Sparse Coded Sift + RGBD Features [31]	60.3	34.4
Unblurred Windows + RGBD Features	60.3	38.0
Mask-Blurred Windows + RGBD Features	63.1	46.1
Masked Windows + RGBD Features	64.9	46.9

### 8.3 Segmentation

To evaluate our semantic/instance segmentation results, we use the semantic and instance labels from [5]. We train and evaluate on the same train/test split as [5] and [34]. We computed the surrogate labels using the weighted coverage loss and report all of the results using the weighted coverage score. To train our model, we used the Block Coordinate Frank Wolf algorithm [27] for solving the structured SVM optimization problem, and the Gurobi[35] ILP solver for performing inference. Loss augmented inference takes several seconds per image whereas inference at test time takes half a second on average. The difference in speed is due to the addition of the overlap matrix (Section 5.1) used to implement loss augmented inference at training time.

#### Evaluating Semantic-Instance Segmentation Results

We evaluate several different types of Semantic-Instance Segmentation models. The model **SEG Trees, SIFT Features** uses the standard segmentation trees with Sparse Coded SIFT features, **SEG Trees, CNN Features** uses standard segmentation trees and CNN Features using the Masking strategy, **SEG + GT Trees, CNN Features** uses the CNN features as well but also trains with a set of height-1 trees created from the ground truth instance maps. Since these height-1 trees are by definition already segmented, during training we use the Coverage Loss for the SEG Trees and Hamming Loss on the semantic predictions for the GT Trees. The last model we evaluated, **GT-SEG Trees, CNN Features**, is a model trained on segmentation trees biased by the ground truth.

**Table 3.** Segmentation results on the NYU Depth V2 Dataset

Algorithm	Weighted Coverage
Silberman et al [5]	61.1
Jia et al [34]	61.7
Our Model - SEG Trees, SIFT Features	61.8
Our Model - SEG Trees, CNN Features without Pairwise terms	62.4
Our Model - SEG Trees, CNN Features	62.5
Our Model - SEG + GT Trees, CNN Features	62.8
Our Model - GT-SEG Trees, CNN Features	87.4

**Table 4.** Evaluating the use of the Coverage Loss

Loss Function	Weighted Coverage
Hamming Loss	61.4
Weighted Coverage Loss	62.5

As shown in Table 3 our model achieves state of the art performance in segmenting the dense scenes from the NYU Depth Dataset. While the use of SIFT features makes a negligible improvement with regard to previous work, using



**Fig. 3.** Random test images from the NYU Depth V2 dataset, overlaid with segmentations. 1st column: ground truth. 2nd column: segmentations from Jia et al [34]. 3rd column: our segmentations. 4th column: semantic labels, produced as a by-product of our segmentation procedure.

convolutional features provides almost a 1% improvement. **SEG + GT Trees, CNN Features**, which was trained to minimize coverage loss on the SEG trees and semantic loss on the ground truth performs slightly better. The addition of the GT trees to the training data acts as a regularizer for the semantic weights on the high dimensional CNN features by requiring that the weights are both useful for finding instances of imperfectly segmented objects and correctly labeling objects if a perfect region is made available. Qualitative results for this model are shown in Fig. 3 along with a comparison to [34]. As these figures illustrate, the model performs better on larger objects in the scene such as the couch in row 1, and the bed in row 4. Like [34] however, it struggles with smaller objects such as the clutter on the desk in row 5.

Finally **GT-SEG Trees, CNN Features** is a model trained on segmentation trees biased by the ground truth. This model achieves a coverage score of 87.4% which indicates that while our model shows improvement over previous methods at instance segmentation, it still does not achieve a perfect coverage score even when the ground truth is available as a possible cut.

### Evaluating the Loss Function

To evaluate the effectiveness of using the Coverage Loss for instance segmentation, we use the same model but vary the loss function used to minimize the structural SVM. We compare against using the hamming loss. We use the regularization parameter <sup>1</sup> for both experiments.

## 9 Conclusions

In this work, we introduce a scheme for jointly inferring dense semantic and instance labels for indoor scenes. We contribute a new loss function, the Coverage Loss, and demonstrate its utility in learning to infer semantic and instance labels. While we can now directly measure the maximum achievable coverage score given a set of regions, it is not yet clear whether this upper bound is actually attainable. While a particular cut of a segmentation tree may maximize the coverage score, it may be information theoretically impossible to find a generalizing model as different humans may disagree on the best surrogate labels, just as they may disagree on the best ground truth annotations. Furthermore, while our structured learning approach was applied to segmentation trees, it can be similarly applied to an unstructured "soup" of segments. In practice, we found that such an unstructured set of segments did not increase performance but instead slowed inference. This is due to the fact that the requirement that no two selected segments overlap can be efficiently represented by a small set of constraints when using segmentation trees where a "soup" of segments requires a very large number of non-overlap constraints. One limitation of our segmentation tree formulation is the inability of the model to merge instances which are non-neighbors in the image plane. We hope to tackle this problem in future work.

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<sup>1</sup>  $\lambda = .001$ .

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