

# Master and Slave Robotic System For Natural Orifice Transluminal Endoscopic Surgery

A.P. Kencana, S.J. Phee, S.C. Low, Z.L. Sun,  
V.A. Huynh  
School of Mechanical & Aerospace Engineering  
Nanyang Technological University  
Singapore

K.Y. Ho, S.C Chung  
Yong Loo Lin School of Medicine,  
National University of Singapore,  
Singapore

**Abstract**— Natural Orifice Transluminal Endoscopic Surgery (NOTES) is a surgical technique that performs operation to the patient by using an endoscope which passed through the natural orifice in the body then through an incision internally thus avoiding any external incisions or scars. The potential advantages are reduced usage of anesthesia, faster pain and recovery leaving the patient with no visible scars on the body. A major hurdle for NOTES is the difficulty in maneuvering accessories, which can only be moved in one plane in relation to the endoscope. A robotic manipulator was designed for endoscopic use which can simulate the movements of the human upper limbs. The system consists of a master, a telesurgical workstation, and a slave manipulator. Complex and intricate maneuvers such as grasping, retraction and cutting can be effortlessly reproduced. This system has been used in bench and in vivo pig experiments Compared with robotic manipulators for Minimally Invasive Surgery, almost the whole length of the robotic manipulator is flexible and therefore making it capable to follow the endoscope through the natural orifice of the human body.

**Keywords**—surgery, robotics, master-slave, endoscope

## I. INTRODUCTION

Thorough the history of human surgery, there are a lot of development have been made from the method and equipments or tools. The method develops from the traditional open surgery method to the minimally invasive surgery (MIS). The equipment also develops from merely a cutting knife to a laser cutting knife. In line with MIS, flexible endoscopy is used to inspect and treat disorders of the gastrointestinal (GI) tract without creating an artificial opening on the patient's body [1]. Simple surgical procedures (like polypectomy and biopsy) can be performed by introducing a flexible tool that goes through a working channel to reach the site of interest at the distal end of the endoscope. The types of procedures that can be performed in this manner are limited by the lack of maneuverability of the tool. The current commercial tool can only grip the flesh. The endoscopist need to pull the tool from the proximal end which is the only movement the tool can perform, thus it is very difficult to perform any surgical procedures. More technically demanding surgical procedures like homostasis for arterial bleeding, suturing to mend a perforation, fundoplication for

gastro esophageal reflux cannot be effectively achieved with flexible endoscopy procedures are often presently being performed under opened or laparoscopic surgeries.

With the invention of medical robots like the Da Vinci [2] surgical systems, clinicians are now able to maneuver surgical tools accurately and easily within the human body [3]. Operating from a master console, the clinician is able to control the movements of laparoscopic surgical tools real time. These tools (also known as the slaves) are designed with sufficient degrees of freedom to move according to the natural hand and wrist motion allowing the clinician to perform intricate procedures with minimal technical difficulties. They are also likely to be small so that they could be easily threaded through small openings or natural orifices in the patient.

The master device is the human-machine interface of the robotic system. Normally directly operated by the clinician, the master device would record and analyze the movements of the clinician's arms and hands. The processed information would be used to drive the slave manipulators. The two basic requirements for the master device are ergonomics and haptics. Large and singularity free workspace, low inertia, small backlash and force reflection are also main characteristics for a good master device [4], [5]. The master controllers for commercialized Da Vinci and ZEUS systems are ergonomics-based devices with gross force feedback [6]. Haptics-based master devices for MIS system are under intensive research [7], [8]. The designs of master device for teleoperation can also be categorized into exoskeleton and non-exoskeleton types. Most of the master devices (Da Vinci, ZEUS and PHANTOM) are non-exoskeleton structures. They enjoy little restriction on design and easier haptics incorporation. TELESAR II [9] and F-EAM [10] master devices are wearable exoskeleton structures. They enjoy better user interaction and perception over control of anthropomorphic manipulators.

This paper presents a master-slave robotic system for GI surgery. The main novelty of the system is the flexible transmission structure to the slave manipulators. This feature

would enable the slave to be introduced in tandem with a flexible endoscope via natural orifices (e.g. mouth and anus) to access the GI tract to perform dexterous procedures. Having an advantage of flexible tool, this system has the capability to perform the future no scar abdominal surgery technique called Natural Orifice Transluminal Endoscopic Surgery (NOTES). The abdominal surgery is performed by an endoscope passed through a natural orifice (mouth, urethra, anus etc.) then through an internal incision in the stomach, bladder or colon, thus avoiding any external incisions or scars.

The potential advantages are reduced usage of anesthesia, faster pain and recovery leaving the patient with no visible scars on the body. A major hurdle for NOTES is the difficulty in maneuvering accessories, which can only be moved in one plane in relation to the endoscope. Tentatively, commercial tools available for use with an endoscope have limited mobility and therefore it is not sufficient to perform complicated procedures which can be done with traditional open surgery.

## II. SYSTEM OVERVIEW

Fig. 1 shows the system layout which consist of several main parts: Slave manipulator, Master controller system and Microprocessor (hardware and software). The endoscopist works from the master and the assistant help him/her to adjust and stabilises the endoscope system. The endoscopist control the master while gathering visual feed back which is captured by the camera at the distal end of the endoscope. A computer console / microprocessor will interpret the readings from the master console that will in turn give instructions to the slave robotic system to perform the treatment to the patient. This system allows complicated treatment to be performed with the added benefit of easy and intuitive control for the endoscopist.

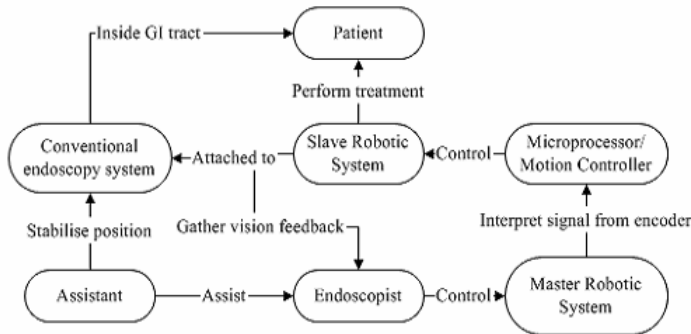


Figure 1. System Overview

## III. THE SLAVE MANIPULATOR

To meet the needs of the surgeon to perform the necessary dexterous actions, the slave manipulator possesses a high number of Degrees of Freedom (DOFs). The emphasis of the project is to make the slave manipulator to be as intuitive to control as possible. As such, the DOFs and joints of the slave manipulator are modeled after a simplified human arm as shown in Fig.2 Altogether there are 5 DOFs for positioning of the slave and an extra DOF for manipulating the end effectors and the axis or rotation. Two slave manipulators are used

instead of one since it can perform actions such as pulling and cutting of polyps or suturing bleeding sites. Furthermore two slave manipulators are more intuitive to use since they resemble the two human arms.

In order for the slave manipulator to be able to be threaded non-invasively into the human GI tract, the overall size of the slave manipulator has to be small yet flexible. Due to this unique requirement, tendon-sheath actuation mechanism is used. The prime movers are located outside the human body and it transmits power to the mechanism by pulling and releasing flexible tendons in a flexible sheath accordingly. The design of the mechanism is such that the two antagonistic tendons that control each DOF motion are independently actuated by one DC motor. In addition, the rotational displacement of each joint is directly proportional to the linear displacements of the tendon. These characteristics allow the serial slave manipulator to be controlled more easily.

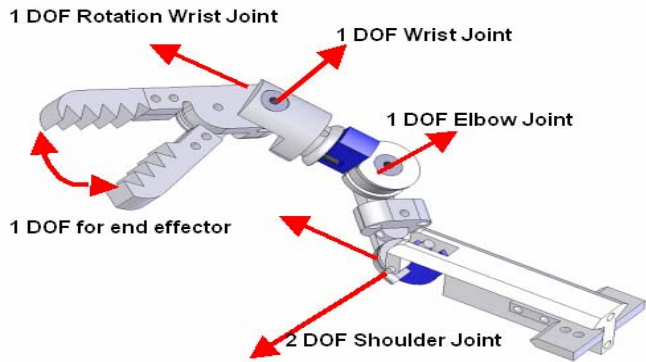


Figure 2. Modeling of human arm slave manipulator

The 3D model of the intended slave manipulator can be seen in Fig. 3.

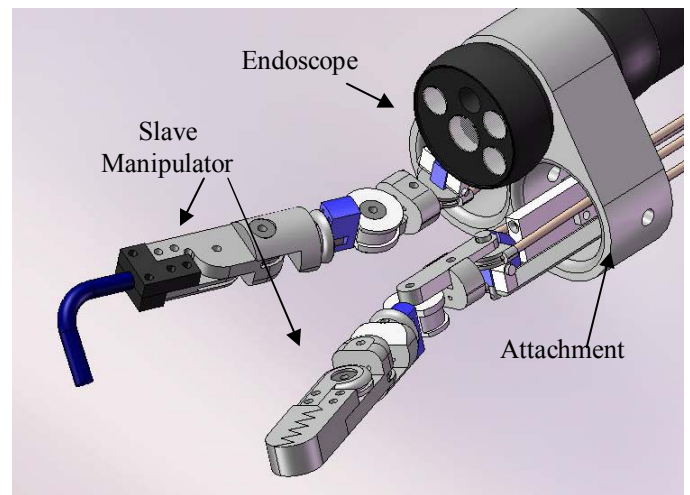


Figure 3. 3D model of slave manipulator

Different types of end effectors have been designed to perform different types of actions during surgery. One of the tools

includes electrodes that can perform mono-polar cauterizing. The picture of the prototype is shown in Fig. 4.

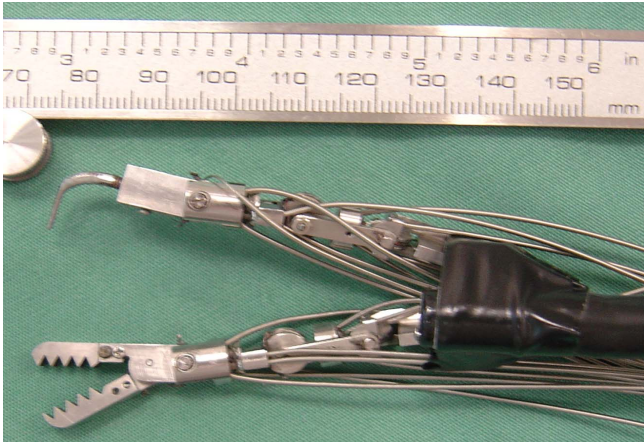


Figure 4. Prototype model

The slave manipulators are designed in two different types of tools, a gripping hand and a cauterization hand. The varieties of the end effectors provide large capability of the slave manipulator to perform many surgical procedures.

#### IV. THE MASTER CONTROLLER SYSTEM

There are several factors, which have to be taken into consideration in designing the master controller, such as slave manipulator, ergonomics and application environment.

##### A. Endoscopic Slave Manipulator

In order to perform as an intuitive system, the master design must have the same number of DOF with the slave manipulator.

##### B. Ergonomics

Ergonomics plays an important part in designing the master device. There are intrinsic limitations due to the operator's capability; workspace and resolution. The master must be able to generate movements above the level of the operator's detection and provide significant information from the slave to the operator. The master should also possess higher position resolution than the slave. Its friction, mass and inertia should be low enough to give comfort to the operator.

For the exoskeleton master device, human factors must be taken into careful consideration in order to have better ergonomics. The master device has to extract all the necessary information from the operator without imposing any limitation to the operator's desired movement unless it is out of range for the slave manipulator. Anthropomorphic data and range of motion of the human arm was used in the design of the master device. The design of the exoskeleton arm master is more than quantitative problem solving. The qualitative data, such as comfort, aesthetics, user friendliness and safety, are also to be accessed during the development process. The surgeon should be seated comfortably at a master console viewing an image of the surgical field. His hands and wrists should be naturally positioned relative to his eyes. Upper arm

should be positioned within 20° extension and 20° flexion, Lower arm within 60° to 100° flexion, and wrist within 0° to 15° flexion/extension [11].

##### C. Application environment.

The surgical theater will be considered the application environment as the master is to be used in surgical theatre, size, material and sensors/actuators must be selected according to the theatre conditions and requirements. Magnetic trackers/sensors cannot be used to measure the arm position due to the varying magnetic fields from different equipments in the operation theatre. The ergonomic master device was developed as a 6 DOF structure and hence it has the capability to control up to 6 DOF slave mechanism. As the slave manipulators resemble the human arms, the anthropomorphic data of the surgeon's arm is used as the control parameters in order to give the surgeon better perception in performing the joint-to-joint control of the slave.

Two cable-actuated position sensors are used to measure the two contributing rotations of the shoulder joint that cause the up-down and in-out movement of the upper arm. Two optical rotary encoders are used to measure the flexion and supination-pronation movement of the elbow joint. Another similar encoder is used for flexion-hyperextension movement of the wrist, and the finger grip comes with an encoder inside the holder.

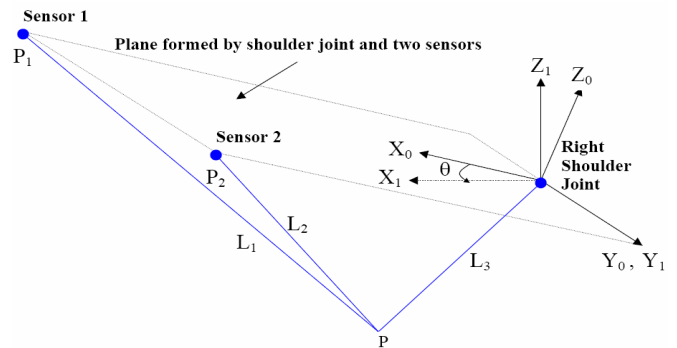


Figure 5. The location of cable-attached upper arm in two coordinate systems

In Fig. 5 it shows a virtual plane formed by shoulder joint and the two position sensors. The sensor locations can be modified to meet the required workspace that lies on one side of the plane. For the full range upper arm movement, additional sensor will be needed. As the comfortable workspace for the surgeon's upper arm lies in a quarter of a spherical space, two position sensors is sufficient to get the anthropomorphic data of one arm in that range.

The position sensors are located in such a way that the cables do not impose any disturbance on the surgeon's vision and movement. Furthermore the tensions of the cables facilitate the surgeon as a counterweight from his own arm moments, reducing the fatigue. Assuming that the shoulder joint is a ball and socket type and its centre estimated about the center of the ball [12], the point of cable-attached upper arm is determined by three spheres formed by upper arm length and two cable

lengths. In Fig. 6,  $L_1$  and  $L_2$  are the two cable lengths and  $L_3$  is the upper arm length.

In  $X_0Y_0Z_0$  coordinate system,

$$\left( {}^0P_x - {}^0P_{1x} \right)^2 + \left( {}^0P_y - {}^0P_{1y} \right)^2 + \left( {}^0P_z \right)^2 = L_1^2 \quad (1)$$

$$\left( {}^0P_x - {}^0P_{2x} \right)^2 + \left( {}^0P_y - {}^0P_{2y} \right)^2 + \left( {}^0P_z \right)^2 = L_2^2 \quad (2)$$

$$\left( {}^0P_x \right)^2 + \left( {}^0P_y \right)^2 + \left( {}^0P_z \right)^2 = L_3^2 \quad (3)$$

The point  ${}^0P(x,y,z)$  below the plane can be solved from the above equations. And it can be transformed into  $X_1Y_1Z_1$  by rotation matrix about Y-axis.

$$\begin{Bmatrix} {}^1P_x \\ {}^1P_y \\ {}^1P_z \end{Bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix} \begin{Bmatrix} {}^0P_x \\ {}^0P_y \\ {}^0P_z \end{Bmatrix} \quad (4)$$

${}^1P(x,y,z)$  can be used to calculate the shoulder joint up-down and in-out angles.

$$\theta_{in-out} = \cos^{-1} \left( \frac{{}^1P_x}{{}^1P_{(x,y,z)}} \right) \quad (5)$$

$$\theta_{up-down} = \cos^{-1} \left( \frac{{}^1P_z}{{}^1P_{(x,y,z)}} \right) \quad (6)$$

No:	Motion	Range
1	Shoulder in-out	$\theta_{in-out}$
2	Shoulder up-down	$\theta_{up-down}$
3	Elbow flexion	$0^\circ$ to $140^\circ$
4	Elbow supination/pronation	$-80^\circ$ to $80^\circ$
5	Wrist flexion/extension	$-60^\circ$ to $60^\circ$
6	Gripper	$0^\circ$ to $60^\circ$

Table 1. Range of motion of the master

The mobility range of the master is constrained only at the upper arm by the virtual plane formed by shoulder joint and two cable sensors. Other joints satisfy full mobility range of the surgeon in their respective movements as shown in Table 1.

The master-slave teleoperation requires kinematics transformations which relate cartesian and joint variables within master and slave devices. This section presents the DH parameters and forward kinematics transformation for the master device. The kinematics diagram and DH parameters [13] are shown in Fig. 6 and Table 2, respectively.  $\theta(1-6)$  are the angles of rotation and  $a_3$ ,  $d_5$  and  $a_6$  are the upper arm, lower arm and hand lengths, respectively.

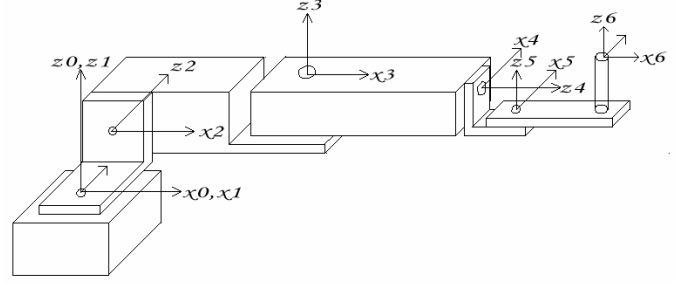


Figure 6. Master kinematic diagram

I	$\theta(i)$	$\alpha(i-1)$	$a(i-1)$	$d(i)$
1	$\theta_1$	0	0	0
2	$\theta_2$	-90	0	0
3	$\theta_3$	90	$a_2$	0
4	$\theta_4+90$	90	0	0
5	$\theta_5$	-90	0	$d_5$
6	$\theta_6-90$	0	$a_5$	0

Table 2. Master DH parameters

From each row in a DH parameter table, the homogeneous transformation matrix is

$${}^{i-1}T_i = \begin{bmatrix} c\theta_i & -s\theta_i & 0 & a_{i-1} \\ s\theta_i c\alpha_{i-1} & c\theta_i s\alpha_{i-1} & -s\alpha_{i-1} & -d_i s\alpha_{i-1} \\ s\theta_i s\alpha_{i-1} & c\theta_i c\alpha_{i-1} & c\alpha_{i-1} & d_i c\alpha_{i-1} \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad (7)$$

Where  $c\theta_i = \cos(\theta_i)$ ,  $s\theta_i = \sin(\theta_i)$ , etc. The forward kinematic transformation for active joints is

$${}^0T_n = \prod_{i=1}^n {}^{i-1}T_i = {}^0T_1 {}^1T_2 \dots {}^{n-1}T_n \quad (8)$$

## V. SOFTWARE CONTROL

A dedicated computer software takes real time readings from each encoder of the master device. This data is processed and appropriate signals are sent out to actuate the respective motors to bring about the required movements. By having this control system, the encoders at the master device are able to send the motion signal to the motion controller and hence give the commands for the motor to move accordingly.

## VI. EXPERIMENT

In vitro experiments with the master-slave system was conducted in carrying out simple manipulative tasks. The animal trials have been conducted in the GI tract of a pig. The experiment was conducted in the surgical theater with a living



Fig. 7 shows the slave manipulator successfully can grip and cut some part of the pig stomach.



Figure 7. Slave manipulator inside a pig stomach during animal trial

## VII. DISCUSSION

One of the biggest problems in the tendon-sheath driving robot system control is the non-linear characteristics due to the friction between the tendon and sheath [5]. As a result, delays and movement hysteresis are noticeable and can be seen in the below relationship

$$\delta(L) = \frac{T_0 L}{EA} \frac{\exp(\lambda) - \lambda - 1}{\lambda} \quad (9)$$

where  $T_0$  is tendon-pretension,  $L$  is the length of the tendon sheath,  $E$  is Young's modulus,  $A$  is the cross section area of tendon,  $\lambda$  is a non-dimensional parameter and indicates the total friction force acting on the tendon under unit tendon-tension[2]. We can regard  $\delta(L)$  as a kind of backlash for the tendon-sheath driving system. For any tendon-sheath driving system,  $L, E, A$  can be considered as constant.  $\lambda$  could also be considered as a constant if the curve of the tendon-sheath doesn't change much. From equation (5), the backlash length is only a function of the cable tension  $T_0$ . To improve the performance of the system, a pretension device is introduced so that the tendon is always in tension. The modified mechanism [14] could also minimize the problem of wire elongation after repeated use. The pretension is set in such a way that the tension change during robot movement is trivial compared to the pretension, so that backlash length  $\delta(L)$  can be considered as a constant. To further reduce the movement delay of the slave the software will record the movement of each slave joint, whenever a direction change is detected; additional actuator displacement is used to compensate for the backlash. Experiments have been designed and performed to obtain the appropriate backlash compensation displacement for each joint. Using this method the delay of the slave can be reduced by up to 0.5 second.

## VIII. CONCLUSIONS

A master slave robotic system that could enhance gastrointestinal endoscopic procedures has been designed and built. The developed slave robotic system consists of a long and flexible body that allows it to follow the endoscope through the human natural orifice. This characteristic has the

potential to allow treatment such as suturing to be performed to the patient without the need of any incision. User has been able to apply the master-slave system to perform tasks such as grabbing and cutting as well as pick and place. Animal trials are planned using the developed system. Future work includes incorporating force sensors and haptic devices into the robotic system. The aim is to enable the endoscopist to 'feel' as if the slave manipulators are his own hands.

## ACKNOWLEDGMENT

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