INFINITY-NORM ROTATION FOR REVERSIBLE DATA HIDING

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ABSTRACT

In this paper, we propose a novel transform that preserves the dynamic range—infinity-norm rotation. This transform is perfectly reversible and piecewise linear, and keeps the maximum value unchanged. We apply the transform to reversible data hiding, which can be utilized for fragile data hiding and covert communication. After the inverse transform applied to the image with hidden data, no overflow or underflow occurs to the pixel values, and small changes of the coefficients in the transform domain result in small changes of the corresponding pixel values after reconstruction. With progressive symmetrical histogram expansion, we obtain very high embedding capacity for data hiding in the transform domain of infinity-norm rotation. Furthermore, the embedding capacity can be further expanded with blocked coefficients and low-frequency coefficients, which is demonstrated by our experiments.

Index Terms—Dynamic range preservation, histogram expansion, infinity-norm rotation, pyramidal hierarchy, reversible data hiding.

1. INTRODUCTION

Reversible data hiding, often referred to as reversible watermarking, was proposed as a promising technique for covert communication and information hiding. Such an embedding algorithm allows extraction of intact hidden data from the watermarked digital carriers and lossless recovery of the original images, when there is no malicious attack.

In the literature of reversible data hiding, the techniques can be mainly classified into two categories by different embedding domains. One type of reversible data hiding technique embeds data directly into the spatial domain. The representatives of this type are compressing general least significant bits (GLSB) to excavate space as embedding locations [1], and shifting histogram to empty certain bins for the data to be embedded [2]. The other type operates embedding in the transform domains [3-5]. These popular transforms, such as integer wavelet transform (IWT) and integer discrete cosine transform (IDCT), serve for compacting the coefficient distribution, and then facilitate the corresponding embedding rule to modify the centralized coefficients. A linear transform with unitary determinant is also proved to be integer reversible if a PLUS factorization is applied to the transform matrix [8]. However, due to the dynamic range expansion problem [6] of these reversible transforms, embedding locations need to be carefully selected to avoid overflow and underflow of pixel values, which cuts down embedding capacity and complicates the algorithms. Therefore, the ideal transform for reversible data hiding should be perfect reversible, coefficient distribution compacted and dynamic range preserved. Besides, linearity or piecewise linearity of a transform is also desirable to guarantee that little change of coefficients results in little degradation of image quality after reconstruction. Piecewise Linear Haar-like transform (PLHaar) is such a transform, proposed by Senecal [7] for lossless and lossy coding, which is a special case of our proposed infinity-norm rotation.

In this paper, we propose a reversible transform that preserves the dynamic range—infinity-norm rotation. It possesses six interesting properties: (1) perfect reversibility; (2) dynamic range preservation; (3) piecewise linearity; (4) simple and fast implementation with in-place calculation; (5) coefficient distribution compaction; (6) separable and non-separable implementation for arbitrary dimensional rotation. We will present the definition of infinity-norm rotation and a typical illustration of infinity-norm rotation in three-dimensional space in Section 2. Furthermore, a four-dimensional infinity-norm rotation is used as a non-separable transform with pyramidal hierarchy for reversible data hiding. The detailed embedding process using symmetrical histogram expansion is described in Section 3. When low-frequency coefficients are left unchanged, embedding into the blocked coefficients can further expand the embedding capacity even larger than 1bpp. These results are given in Section 4, and in Section 5 come the conclusions.

2. INFINITY-NORM ROTATION

The ordinary rotation defined in Euclidean space, which is referred to as 2-norm rotation, is actually a rotation along a circle centered at the origin in 2D or on the surface of a sphere. The 2-norm of a point is its Euclidean length between the point and the origin. After 2-norm rotation, the length or the 2-norm of the point is preserved. Similarly, we
can define \( p \)-norm rotations as that preserve \( p \)-norm after the rotations. For example, a transform that preserves \( 1 \)-norm is a \( 1 \)-norm rotation; a transform that keeps the infinity-norm unchanged is an infinity-norm rotation. Three rotations in 2D are illustrated in Fig.1, which shows the iso-distance curves of \( 1 \)-norm, \( 2 \)-norm and the infinity-norm. And the definition of \( p \)-norm rotation in higher dimensions is given below.

**Definition 1.** \( p \)-norm rotation

\( p \)-norm rotation that preserves \( p \)-norm, geometrically, is a move on the curve of the same \( p \)-norm.

![a](image1.png)  
(a) \( 1 \)-norm rotation (b) \( 2 \)-norm rotation (c) infinity-norm rotation

Fig. 1 rotations in 2D

In two-dimensional space, infinity-norm rotation is a move along a square (shown in Fig.1(c)); in three-dimensional space, an infinity-norm rotation is a move on the surface of a cube (shown in Fig.4); in higher dimensional space, infinity-norm rotation is a move on the surface of a hyper cube.

**Definition 2.** Angle of \( p \)-norm rotation

Angle of \( p \)-norm rotation is the ratio of the runlength between two points along an equal \( p \)-norm curve to the radius of the curve.

\[
\theta_p = \frac{\text{runlength}}{\text{radius}},
\]

where the radius is defined as the \( p \)-norm of the curve.

Especially, \( \theta_{\infty} = \frac{\text{runlength}}{\text{side length}/2} \), when rotating along a square in 2D; \( \theta_p = \frac{\text{arc length}}{\text{radius}} \) is the angle measure of a circular rotation. An approximated relation between \( \theta_p \) and \( \theta_{\infty} \) \((\theta_p \in [0, \pi/4])\) can be defined as \( \theta_p = \pi \theta_{\infty} \), \( \theta_{\infty} = 2 \) when \( \theta_p = \pi/2 \). Other relations can be found by combining the above rotations. An infinity-norm rotation from point A to point B by an angle \( \theta_{\infty} \) is denoted as \( B = R(X, \theta_{\infty}) \) in following discussions.

**Properties of infinity-norm rotation:**

1. Linearity:
   \[ X \in \mathbb{R}^2, \quad R(X, a \cdot \theta_{\infty} + b \cdot \theta_{\infty}) = a \cdot R(X, \theta_{\infty}) + b \cdot R(X, \theta_{\infty}) \quad (2) \]
2. Infinity-norm preservation:
   \[ \|X\| = \|R(X, \theta_{\infty})\| \quad (3) \]
3. Periodicity:
   \[ X \in \mathbb{R}^2, \quad R(X, \theta_{\infty}) = R(X, \theta_{\infty} + 8) \quad (4) \]
   The period of the infinity-norm rotation is 8, while the 2-norm rotation has a period of 2 \( \mathbb{P} \).
4. Bijection:
   \[ X \in \mathbb{R}^2, \quad \alpha \in [0, 8), \quad R(X, \alpha) = R(X, \beta) \Leftrightarrow \alpha = \beta \quad (5) \]
5. Piecewise linear transforms:
   An infinity-norm rotation can be represented by piecewise linear transforms in Euclidean space. In 2D, as shown in Fig.2, we can divide the space into 8 regions. In each region, the infinity-norm rotation is a linear transform which is a shear transform or a product of reflection and shear transform.

\[
\begin{align*}
2 - \theta_{\infty} - 1 &  \quad  x - R\text{-}y \leq x \leq x = a & \quad \text{reg1} \\
1 &  \quad  y & \quad \text{x} - a = x \leq y = R\text{-}x & \quad \text{reg2} \\
1 - \theta_{\infty} &  \quad  y & \quad y = a \leq y = R\text{-}y & \quad \text{reg3} \\
0 &  \quad  1 & \quad 1 - x & \quad y = R\text{-}y \leq x \leq y = R\text{-}y & \quad \text{reg4} \\
0 &  \quad  1 - x & \quad y & \quad y = R\text{-}x \leq x \leq y = R\text{-}x & \quad \text{reg5} \\
1 - \theta_{\infty} &  \quad  y & \quad y = a \leq x \leq y = a & \quad \text{reg6} \\
1 &  \quad  0 & \quad 1 - x & \quad y = a \leq x \leq y = a & \quad \text{reg7} \\
0 &  \quad  1 & \quad 1 - x & \quad y = a \leq x \leq y = a & \quad \text{reg8} 
\end{align*}
\]

where \( X = (x, y), a = \|X\|, \text{and} \text{RL} = a \cdot \theta_{\infty} \).

![a](image2.png) Fig. 2 piecewise linearity of infinity-norm rotation

In discrete space, if the dynamic range of data is the integers from 0 to 255, the domain and the range of \( n \)-dimensional infinity-norm rotation are both a \( 256 \times 256 \times \cdots \times 256 \) lattice constructed by the sub-lattices whose nodes have the same infinity-norm. The runlength for each sub-lattice should also be discretized. When \( X = (x, y), \text{runlength} = \|X\|, \)

\[
\text{runlength} = \lceil a \cdot \theta_{\infty} \rceil \quad (7)
\]

where \( \lceil \cdot \rceil \) is a rounding operator which can be round, ceil or floor, to constrain runlength to have the same precision as the nodes on the lattice. Except runlength, only addition and subtraction are needed during the in-place calculation of infinity-norm rotation. It is feasible for hardware implementation with limited buffer, cheap fixed-point arithmetic unit and fixed-width channels.

To find the proper rotation angles, we use the tool of \( n \)-D co-histogram of an image for analysis.

**Definition 3.** \( n \)-D co-histogram

\( n \)-D co-histogram is an \( n \)-dimensional histogram for the statistics of the co-occurrence of \( n \)-dimensional points whose coordinates are the values of every \( n \) neighbor pixels in the image (see [9] for 2D co-histogram).

The angles of infinity-norm rotation are chosen such that the diagonals in Fig. 3(a)(d) parallel to an axis. After rotation, the dimension parallel to an axis is mostly kept invariant, which represents the low-frequency components of the images, while the other dimensions are high-frequency components of the images. The two-dimensional infinity-norm rotation as shown in Fig.1(c) results in Fig.3(c).
3. REVERSIBLE DATA HIDING

3.1. Symmetrical histogram expansion

For the convenience of description, the n-buddy definition is presented first.

**Definition 4. n-buddy**

*An n-buddy of an m-bit number is an m-bit number, whose upper m−n bits are the same, but the lower n bits have at least one bit different.*

Take 8-bit numbers as examples: 2 and 3 are 1-buddies of each other, and 4, 6, 7 are all 2-buddies of 5. It is obvious that if a number is modified in the lower n bits, it must be one of its n-buddies or itself. Therefore, after the lower n bits of the coefficients in a histogram bin are modified, the pixel value in the bin expands into the empty neighbor n-buddy bins. In the recovery process, these neighbor n-buddy bins are all mapped into the original single bin. The lower n-bit modification scheme for embedding process can be formulated as:

$$C_w = \left\lfloor \frac{C}{2^n} \right\rfloor 2^n + W$$  \hspace{1cm} (8)

Fig. 6 illustrates our symmetrical histogram expansion scheme. Firstly, we choose bin 6 as the pivotal bin for the first embedding and shift bin 7 to the right as bin 8 to evacuate bin 7 for the embedding. Then we shift bin 4 to the left to embed data into bin 5. Finally, we embed data into bin 8. That is for data embedding in a zigzag scanning order. For hidden data extraction, we convert pixel values 6 and 7 into 6, 5 and 4 into 5, 3 into 4, 8 and 9 into 7 so as to recover the original coefficient values in the same order as in embedding.

3.2. Data embedding and extraction

Based on the four-dimensional infinity-norm rotation and the symmetrical histogram expansion scheme, our progressive algorithm is summarized as follows.

**Data embedding:**

1. Transform the original image using $\mathcal{R}_\infty^4$.
2. Attach the lower bits of the coefficients in the first row to the data to be embedded.
3. Choose 128th bin in the coefficient histogram as the pivot, and record the length of the data to be embedded into the lower bits of the coefficients in the first row.

4. While there are data left to be embedded do
   Expand the histogram symmetrically;
   Modify the lower n bits of the coefficients.

End while

5. Apply the inverse $R_n^*$ and output the image with data.

Data extraction and image recovery:
1. Transform the image with hidden data using $R_n^*$.
2. Extract the pivot value and the length of the hidden data from the coefficients in the first row.

While there are data left to be extracted do
   Extract the lower n bits of the coefficients;
   Shrink the expanded histogram back.

End while

4. Recover the coefficients in the first row.

5. Apply the inverse $R_n^*$ to recover the original image.

In our algorithm, we not only shift one bin for 1-bit modification, but also shift three and seven bins for 2-bit and 3-bit modification to increase the capacity.

4. EXPERIMENTAL RESULTS

Using pyramidal four-dimensional rotation, we list the capacity (bytes) in each second row and PSNR (dB) in each first row for the test images with different analysis levels. Three analysis levels are optimal for symmetrical histogram expansion in our experiments. Partition the coefficients into blocks in the same size as that of low-frequency block at the highest analysis level. Then leave the incomplete low-frequency coefficients unchanged. The histogram of each high-frequency block has the distribution like Fig. 5(c), but more compact and more empty bins at both sides. We use three level analyses, divide the coefficients into 8*8 blocks, and show the results as 3* in the sixth column of Table 1. The embedding capacity of some images exceeds 1bpp, and can still be further expanded, if image quality does not matter much.

Table 1 Capacity (bytes) and PSNR (dB) for the test images

<table>
<thead>
<tr>
<th>Image</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>3*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Barbara</td>
<td>31.34</td>
<td>31.84</td>
<td>32.11</td>
<td>30.77</td>
<td>24.24</td>
</tr>
<tr>
<td></td>
<td>15912</td>
<td>19027</td>
<td>24001</td>
<td>24098</td>
<td>29315</td>
</tr>
<tr>
<td>Lena</td>
<td>31.92</td>
<td>32.77</td>
<td>32.93</td>
<td>31.49</td>
<td>24.53</td>
</tr>
<tr>
<td></td>
<td>19866</td>
<td>23683</td>
<td>26716</td>
<td>26802</td>
<td>37491</td>
</tr>
<tr>
<td>Boat</td>
<td>31.67</td>
<td>32.16</td>
<td>32.37</td>
<td>30.96</td>
<td>24.02</td>
</tr>
<tr>
<td></td>
<td>18266</td>
<td>22327</td>
<td>27674</td>
<td>27700</td>
<td>37949</td>
</tr>
<tr>
<td>Jet</td>
<td>31.87</td>
<td>32.68</td>
<td>32.73</td>
<td>31.03</td>
<td>23.68</td>
</tr>
<tr>
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<td>19743</td>
<td>24153</td>
<td>28629</td>
<td>28631</td>
<td>42433</td>
</tr>
<tr>
<td>Baboon</td>
<td>31.38</td>
<td>32.22</td>
<td>30.15</td>
<td>29.26</td>
<td>23.94</td>
</tr>
<tr>
<td></td>
<td>10732</td>
<td>12286</td>
<td>13192</td>
<td>13296</td>
<td>15986</td>
</tr>
<tr>
<td>Gold</td>
<td>31.65</td>
<td>32.27</td>
<td>32.30</td>
<td>31.09</td>
<td>24.33</td>
</tr>
<tr>
<td></td>
<td>16457</td>
<td>19484</td>
<td>22618</td>
<td>22748</td>
<td>28294</td>
</tr>
</tbody>
</table>

As in Table 2, we compare the performance of our proposed method with the good performance of the reversible data hiding methods in the recent literature on Lena and highly textured Baboon. It is obvious that our method have good average performance at high embedding bit rate.

Table 2 Capacity (in bytes) and PSNR (dB) comparison between reversible data hiding methods at high bit rates

<table>
<thead>
<tr>
<th>Method</th>
<th>Lena (512<em>512</em>8)</th>
<th>Baboon (512<em>512</em>8)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Capacity</td>
<td>PSNR</td>
<td>Capacity</td>
</tr>
<tr>
<td>Tian [3]</td>
<td>27755</td>
<td>32.54</td>
</tr>
<tr>
<td>Xuan et al [4]</td>
<td>19661</td>
<td>34.39</td>
</tr>
<tr>
<td>Celik et al [1]</td>
<td>22127</td>
<td>31.9</td>
</tr>
<tr>
<td>Ni et al [2]</td>
<td>683</td>
<td>48.2</td>
</tr>
<tr>
<td>Proposed</td>
<td>26716</td>
<td>32.93</td>
</tr>
</tbody>
</table>

5. CONCLUSIONS

In this paper, we propose a piecewise linear transform that preserves the dynamic range — infinity-norm rotation, and apply it to reversible data hiding. The embedding capacity is very high. Furthermore, infinity-norm rotation can be generalized into arbitrary dimensional transform and have diversified combinations. Which should be effective for reversible data hiding merits further investigation.

6. REFERENCES