## SELF CONSTRUCTING NEURAL NETWORK ROBOT CONTROLLER BASED ON ON-LINE TASK PERFORMANCE FEEDBACK

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Abstract: A novel methodology to create a powerful controller for robots that minimises the design effort is presented.

We show that using the feedback from the robot itself, the system can learn from experience. A method is presented where the interpretation of the sensory feedback is integrated in the creation of the controller, which is achieved by growing a spiking neural network system. The feedback is extracted from a performance measuring function provided at the task definition stage, which takes into consideration the robot actions

without the need for external or manual analysis.

## 1 INTRODUCTION

Machine intelligence and machine learning techniques have been used extensively in the tuning and optimisation of robot controllers capable of enabling the execution of complex tasks. Similarly, given the vast variety of possible conditions present in the real world, machine learning has been the subject of significant research to improve the responses of autonomous robots to a variety of situations. But the use of these techniques in the actual design of the controllers is still in its early stages. This paper presents a novel methodology capable of creating a spiking neural based controller, which is being studied and evaluated as part of our research in autonomous robots. Our method also takes into account the aspect of online learning which is a much more intuitive approach to real world problems, specifically for the study of robotics in non-structured environments.

One type of learning system that has been applied successfully to some control problems is based on neural networks. One approach to have robots that are able to adapt to completely new situations could be to provide a neural network with enough fully connected neurons, and have those connections adapted with known machine learning methods; this, in principle, would provide enough adaptable components in their control system. Alternatively, as has been

shown by Elizondo et al. (Elizondo et al., 1995), partially connected neural networks are faster to train and have better generalisation capabilities. Similar effects have been found considering the number of neurons (Gómez et al., 2004), where it has been shown that it is not necessarily better to have more neurons.

In this paper we present a novel method for creating a neural network based robot controller which starts with a minimalistic neural network having a small number of neurons and connections and grow it until it can fulfil effectively the tasks required by the robot. The results presented have been evaluated with a set of experiments where a simulated robot learns to avoid obstacles while wandering around in a room.

We have created a self constructing controller for a robot which consists of a spiking neural network which learns from experience by connecting the neurons, adapting the connections and growing new neurons depending on a feedback process that will correspond to the measurement of a perceived "gratification" value of the robot. The measurement of the "gratification" that the robot perceives can be defined by an evaluation function that rewards the robot depending on the performance of the task, causing that the neural network develops itself. This self construction occurs without the need of any external or human intervention, creating a purely automated learning mechanism; the self construction can be guided

as well with runtime feedback from a trainer (either automated or human operated), representing the validation of an expert.

Reward based systems have been presented, as in (Florian, 2005) where a worm that was fed with positive reward when its mouth was moving towards its food source and negative reward when its mouth was moving in the other direction was simulated using a neural network to control its movements. Depending on the feedback the connections between the neurons were adapted, which finally took the mouth of the worm to the food source. A similar method was used in the experiments of this paper. A reward measurement will be used both for growing new neurons and for adapting the connections between them.

During the creation of the network we have separated the neural connections in two parts: artificial dendrites and axons. These do not only play an important role with the growth mechanism but in the basic decision mechanism for the actions.

At this stage of the research we have set some initial constraints to provide a reliable evaluation of the novel growth methods. For example recurrent connections and Spike Time Dependent Plasticity have been excluded, which would both increase the capabilities of the neural network as for example shown by Gers et al. (Gers et al., 2002) or Izhikevich (Izhikevich, 2006). However, they would also increase the dynamics of the network and hence the effort of evaluating it and the certainty of the evaluation at this initial stage.

The paper is organised in the following way. Section 2 explains the principle of the type of connections used in our neural network. In section 3 the basic learning mechanisms of the spiking neural network are described. The growth mechanism of the controller is discussed in section 4, followed by results of testing the mechanism in section 5 and an analysis of them in section 6. Section 7 contains concluding remarks. At the end some ideas for further work are mentioned, in section 8.

## 2 ACTION SELECTION

## 2.1 Neural Task Separation

In the model presented in this paper we use spiking neurons which send Boolean signals via the connections when a certain threshold potential is exceeded (a basic explanation of these can be found in (Vreeken, 2003)). For the experiments that are reported in this paper the threshold is kept constant and is the same in the whole neural network. This has some advantages

such as that all new neurons can be created with the same properties.

For applications in robot control, we can use neural networks for classification and for action selection. The classification task is needed to reduce the number of neurons that are responsible for selecting an action. The number of connections between neurons can be reduced as well by merging certain input patterns into classes. Classification is usually done by connecting several input neurons to a neuron that represents the class that all of the connected input neurons belong to. This process is often called representation and can be distributed over several layers. By combining several neurons into a single one at the next level, in the succeeding parts of the network the number of neurons and connections can be reduced as well. This optimisation processes are critical as it has been shown that less neurons and connections result in less computation requirement and better development of the network (Elizondo et al., 1995) (Gómez et al., 2004).

As we need the system to be capable of dealing with classification and action selection mechanisms at the same time, it is useful to separate the connections into two parts. For the model we are presenting here, dendrites connect axons with a postsynaptic neuron and axons connect a presynaptic neuron with a dendrite.

## 2.2 Neural Task Processing

A presynaptic neuron is activated when its potential reaches a threshold and fires off "spikes" via its axons. The "spike" is an all-or-nothing signal, but its influence on the connected dendrite is weighted. The weights are adjusted by the learning process discussed later. A single axon can be sufficient to activate a dendrite. More issues of the separation of connections into axons and dendrites are discussed in section 4.

The signals travel from a presynaptic to a postsynaptic neuron as explained by the following equations. For all equations it is assumed that all axon weights of one dendrite sum up to 1. If weights are changed, they have to be normalised afterwards, so that the sum is 1 again.

Input of a dendrite:

$$I_d = \sum_p O_a(p) \cdot w_a(p) \tag{1}$$

where  $I_d$  is the dendrite's input.  $O_a(p)$  is the output of axon p, which is 1, if the presynaptic neuron has fired and 0 otherwise.  $w_a(p)$  is the weight of axon p.

Output of a dendrite:

$$O_d = \frac{1}{1 + e^{-b \cdot (I_d - \theta_d)}}$$
 (2)

where  $O_d$  is the dendrite's output and  $I_d$  is its input.  $\theta_d$  is a threshold value for the dendrite. b is an activation constant and defines the abruptness of activation.

The influence of the dendrites on the postsynaptic neuron is again weighted and the weights are again adapted by the learning process. Contrary to the situation in a dendrite, a neuron is only activated and fires when many or all of the excitatory dendrites are active. An excitatory dendrite has got a positive weight, while an inhibitory dendrite has got a negative weight and decreases the probability of a neuron to fire.

Similar to the axons, all excitatory dendrites of one neuron sum up to 1. The inhibitory dendrites of a neuron sum up to -1. Again, normalisation is needed after weight changes.

Input of a neuron:

$$I_j = \sum_q O_d(q) \cdot w_d(q) \tag{3}$$

where  $I_j$  is the input of the postsynaptic neuron j,  $O_d(q)$  is the output of dendrite q and  $w_d(q)$  is the weight of dendrite q.

Change of neuron potential:

$$P_i(t+1) = \delta \cdot P_i(t) + I_i \tag{4}$$

where the new neuron potential  $P_j(t+1)$  is calculated from the potential of the last time step t,  $P_j(t)$ , and the current contribution by the neuron input  $I_j$ .  $\delta$  is a constant between 0 and 1 for recovering to the resting potential (which is 0 in this case) with time. The fact that  $\delta$  will never bring the potential exactly to the resting potential, is not very important but can be avoided with a total reset when reaching a small range around the resting value.

The postsynaptic neuron is activated when its potential reaches the threshold  $\theta_j$  and becomes a presynaptic neuron itself for neurons which its own axons are connected to. After firing the neuron resets its potential to its resting state. In contrast to similar neuron models that are for example summarised by Katic (Katic, 2006), a refractory period is not implemented here.

The processes for a neuron are shown in figure 1.

## 3 MACHINE LEARNING AND EXPERIENCE

## 3.1 Measuring and using Feedback

For complex situations as usually encountered in robotic applications in the real world there is rarely an exact error value which is known and is to be minimised.

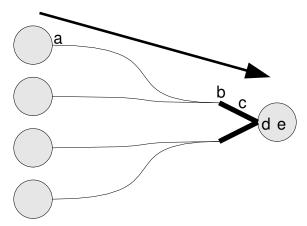


Figure 1: A spike is produced when the presynaptic neuron fires and is sent to a dendrite (a). The dendrite sums up the weighted spikes (b, equation 1) and calculates its output (c, equation 2). The postsynaptic neuron sums up the weighted output of all of its dendrites (d, equation 3) and calculates its new potential (e, equation 4).

As experience based learning is meant to use past events to correct and optimise the behaviour, we need a measurement of error or its equivalent if the former is not directly available. We have chosen as an alternative to an error value one or more reward values that can be fed into the control system to represent the "well-being" of the robot.

These reward values can be modified by positive "good experience" or negative "bad experience" feedback relative to the robot's performance in the task that has been assigned. The positive experience is to be maximised.

In our model we have a single reward value that represents the general "well-being" of the robot. Its range is kept from -1, very bad, to 1, very good. The calculation of the reward can be varied. Usually it combines current measurements like fast movement, crashes or the energy level with residual effects of recent ones to avoid too rapid changes. For example, if the robot crashes into an object, the value for representing its "well-being" will be negative for a short while. A robot that moves away from a obstacle after crashing into it deserves an increase of the reward.

For the methods that are explained here it is assumed to have a meaningful global reward value  $\Pi(t)$  at each time step t. This value can be added to a learning rule as an additional factor. Different authors, all of them using different neuron functions and learning functions, have shown that this surprisingly simple method can successfully be used to implement reinforcement learning in a neural network (Daucé and Henry, 2006) (Florian, 2005) (Izhikevich, 2007). They do not need an external module that evaluates and changes the connections of the network after each processing step any more.

An example for adapting axons and dendrites using Activation Dependent Plasticity is shown below. Activation Dependent Plasticity is based on Hebb's ideas of strengthening connections that fire together (Hebb, 1949). As shown by Izhikevich reward can also be integrated into the more sophisticated Spike Time Dependent Plasticity (STDP) learning model (Izhikevich, 2007).

Adaptation of an axon weight:

$$w_a(t+1) = w_a(t) + \eta_a \cdot \Pi(t) \cdot \phi_a \cdot O_d$$
 (5)

where  $w_a(t)$  and  $w_a(t+1)$  are the axon weights before and after the adaptation.  $\eta_a$  is the learning factor for axons and  $O_d$  is the recent output of the connected dendrite.  $\phi_a$  shows if the axon was active shortly before the postsynaptic neuron fired. For STDP this value can be the result of a function that takes into consideration the time when spikes were transmitted via the axon. In any case  $\phi_a$  is a value from 0 to 1.

 $\Pi(t)$  is the current reward. If it is positive, the strength of the axon will increase. A negative value will decrease the strength of the axon.

Adaptation of a dendrite weight:

$$w_d(t+1) = w_d(t) + \eta_d \cdot \Pi(t) \cdot \phi_d \tag{6}$$

where  $w_d(t)$  and  $w_d(t+1)$  are the dendrite weights before and after the adaptation.  $\eta_d$  is the learning factor for dendrites and  $\phi_d$  is the activity value of the dendrite.  $\phi_d$  is the equivalent of  $\phi_a$ , but  $\phi_d$  represents the activity of the dendrite.

With this function, active excitatory dendrites are strengthened and active inhibitory dendrites are weakened, if the current reward  $\Pi(t)$  is positive. Otherwise excitatory dendrites are weakened and inhibitory dendrites are strengthened.

## 3.2 Delayed Feedback

In robotics and maybe other real-time control applications it is very important to consider delayed sensorial and perception issues when dealing with feedback from the environment and ensuing rewards. When weights are adapted and as discussed later also neurons are created on the controller based on the current reward, this may be a problem. Typically, sensor based feedback is received some time after the responsible action has been decided and executed. Depending on the task the robot is performing, the time differences can vary significantly.

There are two components to consider for tackling this issue efficiently:

• Feedback is not fed directly into the neural network but just changes the current reward value,

which also contains residual effects of past feedback. This avoids fast changes of the reward value, which would be difficult to assign to a certain neuron activity pattern.

• In spiking neural networks, there is no single event that is responsible for an action, but a continuous flow of spikes. In control terms, this is equivalent to having the integral element of a PID scheme; this acts as an embedded filter that makes that the input pattern, and hence the spiking pattern, does not change rapidly if a certain feedback is received. Figure 2 shows an example situation for this issue.

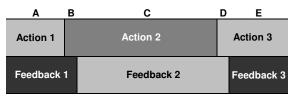


Figure 2: Section A in the figure illustrates any previous action of the robot, for example "turning right". In section B the robot has started a new action like "moving forward" but still receives the feedback that should be assigned to the previous action. Section C shows the time when feedback is correctly assigned to the current action. In section D the next action has already started but the feedback is the reaction to action 2. Section E completely belongs to the next action. Sections B and D, where feedback is not assigned correctly, are very short compared to the other sections.

In many robotics situations it is still difficult to assign the feedback correctly, for example if there is a big time difference between action and feedback, or if there are many concurrent tasks with opposite actions or feedback values at the same time. However, even humans do not always arrive at the correct conclusions and therefore, although is our aim for robots to deal with very complex relations, it is not realistic to expect it to happen with all.

In further work, a method will be introduced that may enable a robot to deal with delayed feedback in a better way, or may even be used to predict feedback. The method will be refined through further experimentation and research.

# 4 NEURAL CONTROLLER CONSTRUCTION

The neural network to be grown to create a robot control system initially has no links from the input to the output. The developer only defines the input neurons and how they are fed with signals to produce spikes, the output neurons and how their signals are used, and

how the global reward is calculated. An example for how this is done is explained in section 5.

If a non-input neuron has got no predecessors (neurons, which it gets spikes from), it creates a new excitatory dendrite and connects it to any neuron. In the experiments that are discussed later a predecessor is looked for that is positioned above the postsynaptic neuron in a layered network structure. Excitatory dendrites can also look for new presynaptic neurons every now and then and connect them with weak strength (low weights). That way a new connection does not abruptly change an established behaviour.

The method to grow new axons, which are the connections between presynaptic neurons and dendrites, can only be used for the action selection task. To classify different input patterns a method that creates new neurons is presented. Liu, Buller and Joachimczak have already shown that correlations between certain input patterns and a certain reward can be stored by creating new neurons (Liu and Buller, 2005) (Liu et al., 2006).

In the model proposed here, if the current reward is positive, a neuron that was active recently should be active again in similar situations, because, if a certain action was responsible for positive reward, it may be successful again. In section 3 delayed feedback was discussed. To avoid wrong correlations between feedback and neuron activity, a neuron will only create a connection to a new neuron in the following way, if it was active for some time already:

- All axons with enough influence on a neuron that
  was active before receiving positive feedback are
  redirected to a new neuron. The influence depends
  on the axon weights and the recent activity of the
  presynaptic neurons.
- The redirected connections are no longer just axons to one dendrite but are all connected to their own dendrite at the new neuron. This stores the combination of input signals.
- The old axons need not be removed completely, but most of their strength will be moved to a new axon that is connected to the new neuron.
- The process, which is illustrated in figure 3, is repeated for all dendrites of a neuron.

For a negative reward the process of creating a new neuron is similar, but the new neuron is not connected by a new axon but by a new inhibitory dendrite. In the future a similar input pattern will then inhibit the neuron that was active before receiving negative feedback. Bad actions will be suppressed that way.

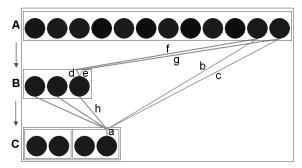


Figure 3: The excitatory dendrite a is connected to two neurons of the input layer A via the axons b and c. Both were active when there was a significant positive reward. A new neuron was created in the hidden layer B that connects the same input neurons by two dendrites (d, e) and one axon for both dendrites (f, g). Then the new neuron was connected to dendrite a (axon h) of the neuron in the output layer C.

## 5 EXPERIMENTAL SETUP

Our novel methodology for autonomously constructing a spiking neural network based controller from a basic initial definition structure was tested with a simulation of a Pioneer Peoplebot which moves using differential steering, as depicted in figure 4. The initial neural structure consists of 12 input neurons (2 for each sensor), 4 output neurons (2 for each motor), and no connections as indicated by layers A and C in figure 3.

The input neurons are fed by values from 6 sonar sensors as shown in figure 4, each sensor feeds the input of 2 neurons. The sonar sensors are arranged so that 4 scan the front of the robot and 2 scan the rear as shown in the figure. The distance value is processed so that one input neuron fires more frequently as the measured distance increases and the other neuron connected to the same sensor fires more frequently as the distance decreases.

For the actuator control, the output connections are configured so that the more frequently one of the output neurons connected to each motor fires, the faster this motor will try to drive forward. The more frequently the other output neuron connected to the same motor fires, the faster that motor will try to turn backward. The final speed that each motor will drive is calculated by the difference between both neurons.

With the sensor and actuator configuration described, the experiment was setup for the robot to learn to wander around randomly in the simulated office shown in figure 5 while avoiding obstacles.

In each control cycle the global reward value is updated along with the processing of the whole simulated system and movement of the robot. The original Peoplebot's bumpers are included in the simulation and are used to detect collisions with obstacles, and are used to penalise significantly the reward values when such a collision occurs. The reward is increased continuously as the robot travels farther during its wandering behaviour. Backward movement should only be acceptable when recovering from a collision, therefore it will only be used to increase the robot's reward value in that case, while it is used to decrease this value for all other cases. The straighter the robot goes the more positive reward it will receive. So in the long run straight movement will be prefered compared to moving in circles.

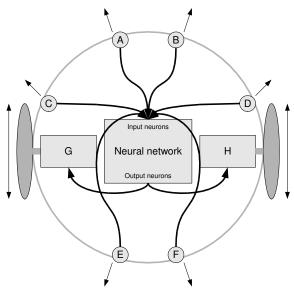


Figure 4: The robot interface includes sonar sensors A to F and motors G and H.

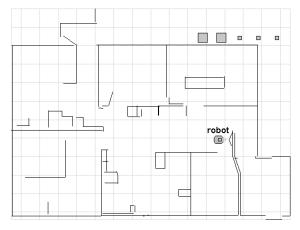


Figure 5: A simulated Peoplebot is situated in this simulated office provided by MobileRobots/ActivMedia.

Once the controller starts to create connections and new neurons, they are organised in layers as indicated in figure 3. One layer contains the input neurons and output neurons are located at the layer in the opposite end. New layers can be created in-between these two to accommodate new neurons. For these experiments the network is evaluated as a strict feed forward network, which means that there are no connections to neurons from the same layer (i.e. no local inhibition) or to neurons from a previous layer (i.e. no recurrent connections).

For all experiments Activation Dependent Plasticity was used. That means actions are selected based on co-activation of certain neurons without considering the exact spike times. This is suitable for these experiments where the robot needs to exhibit a purely reactive behaviour; therefore constraints are accepted in terms of having no competing actions that need executing in parallel and without planning tasks where a sequence or time synchronous actions need to be executed. Similarly, although advantages of spike time dependent processes have for example been investigated by Izhikevich (Izhikevich, 2006) or van Leeuwen (van Leeuwen, 2004), the learning and growing mechanisms are not based on such times to make evaluation easier.

From the explanation of the process to create new neurons presented in section 4, an additional issue had to be considered to avoid the creation of large sequences of neurons when a particular high reward value is received from the feedback system. When a new neuron that stores an input pattern that seems to be responsible for a certain reward value is created, itself will again generate a reason to produce another neuron because its output can also be assigned to a certain reward. To avoid this, the age of the connections is considered so that young axons, even if they seem to be responsible for a lot of reward, will not lead to a new neuron. The effect of this can be observed in Figure 6 where a growing process with and without this consideration is shown. Without considering the age of the connections the neural network is growing so fast that the time needed for all calculations of one time step increases enormously. Ignoring young connections saves an extreme amount of new neurons and also connections.

## **6 ANALYSIS OF RESULTS**

Various experiments were run and consistent results were obtained where the robot was able to learn autonomously to wander around while turning away from obstacles. Figure 7 shows an example run in

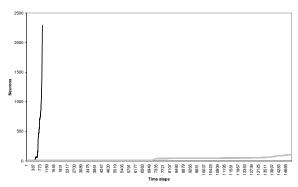


Figure 6: The black line shows the number of neurons without considering the age of the connections for creating new neurons. For the grey line connections younger than 6000 time steps were ignored at the growing process.

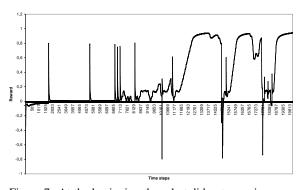


Figure 7: At the beginning the robot did not perceive very much reward. After some random movements the robot learned how to increase positive reward. Smaller reward at later stages shows that the robot slowed down near obstacles. The negative amplitudes show that not all obstacles could be avoided.

which the robot perceived more reward when its experience increased.

The trend of reward seen in figure 7, where the feedback varies significantly from high to negative might look obvious, but it is critical for the robot to be capable of continuous adaptation. A monotonic increase in the suitability of the system, as is achieved with other machine learning approaches, would mean that once the controller learns to perform a task, it cannot re-adapt to any alteration. This supports further the suitability and potential of our novel feedback guided methodology for autonomously creating robot controllers.

Table 1 shows some results of a test sample of 50 simulation runs, each run starting with the initial network definition and without connections, the system executed 20000 time steps, where one time step is over when all neurons have been updated once. The speed values are measured in internal simulation units. In all cases, the same number of inhibitory ax-

ons as inhibitory dendrites were created, because as explained in section 4 a new inhibitory axon is always created with a new dendrite.

Table 1: The table shows results of 50 simulation runs.

	Min.	Max.	Avg.
Total reward	-164.96	7412.83	3160.72
Avg. reward	-0.01	0.37	0.16
Max. speed	395.00	1303.00	970.38
Avg. speed	4.09	388.24	190.48
Crashes	0.00	16.00	3.36
Neurons	16.00	32.00	20.62
Exc. axons	14.00	126.00	34.46
Exc. dendrites	4.00	96.00	18.98
Inh. axons	4.00	6.00	4.12

As the system responds autonomously to the feedback received in the form of reward, it is possible to add or remove neurons to the input or output layers at any time, associated either to existing or new sensors and actuators. The controller will continue to receive the feedback and continue to adapt autonomously. This provides a very powerful potential for online adaptation to both new situations and new configurations of the robot's hardware. Even in non-explicit situations, such as standard wear and tear of the system or degradation and failure of a particular component (sensor or actuator), as long as the task is still possible to be achieved, the controller will adapt to it.

There is some potential for improving the methods for the robot to learn to recover if it crashes into an object. The different parameters of the neural network have to be adjusted and tested to render the strengths and weaknesses of the proposed robot control system more precisely.

## 7 CONCLUSIONS

We have shown that a robot controller can be created autonomously using our novel methodology. A neural network can be grown based on the reward measured by a feedback function which analyses in real time the performance of a task.

We have defined a novel methodology where the design of a robot controller is defined in a completely new way: as an intuitive process where all that is required is to identify the inputs, the outputs and the mechanism to quantify a reward perception from feedback that depends on the performance of the system carrying out a task.

In addition, since the complete process is integrated in a single and robust stage capable of learning

from experience in a continuous way when running, this methodology has the potential to be an adaptable system where we can add or remove any sensors or actuators, and the controller can adapt autonomously and online to the new situation.

## 8 FURTHER WORK

The different parameters that define the speed of adapting connection weights and the way of creating new neurons and connections have to be investigated further to evaluate our novel methodology for creating controllers for concurrent tasks. These investigations will lead us to find an elaborate but still very basic "artificial brain" model that enables a system to achieve a sophisticated level compared to other artificial intelligence models by learning from experience efficiently.

When the basic methods are investigated in detail, some extensions can be added like Spike Time Dependent Plasticity or a feedback prediction mechanism. Initial ideas for both enhancements were discussed in this paper. Those improvements would help the controlled systems to deal with more complex situations, especially when timing considerations are important.

As mentioned in section 3 assigning delayed feed-back more efficiently or even predicting feedback will be an interesting research issue for future work. The idea is that a neuron that receives positive or negative reward very often when it is active will probably receive the same reward also in the future. Predicting reward could actually be one reason for producing reward. This earlier reward may now be correlated to the activity of another neuron. That neuron could again produce reward when predicting it. By the recursive process reward could potentially be predicted progressively earlier.

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