

# COMBINING OBSERVATIONS IN THE REFLECTIVE SOLAR AND THERMAL DOMAINS FOR IMPROVED MAPPING OF CARBON, WATER AND ENERGY FLUXES

*Rasmus Houborg<sup>1,2</sup>, Martha Anderson<sup>3</sup>, Bill Kustas<sup>3</sup>, Matthew Rodell<sup>1</sup>*

<sup>1</sup>NASA's Goddard Space Flight Center (GSFC), Greenbelt, MD

<sup>2</sup>Earth System Science Interdisciplinary Center, College Park, MD

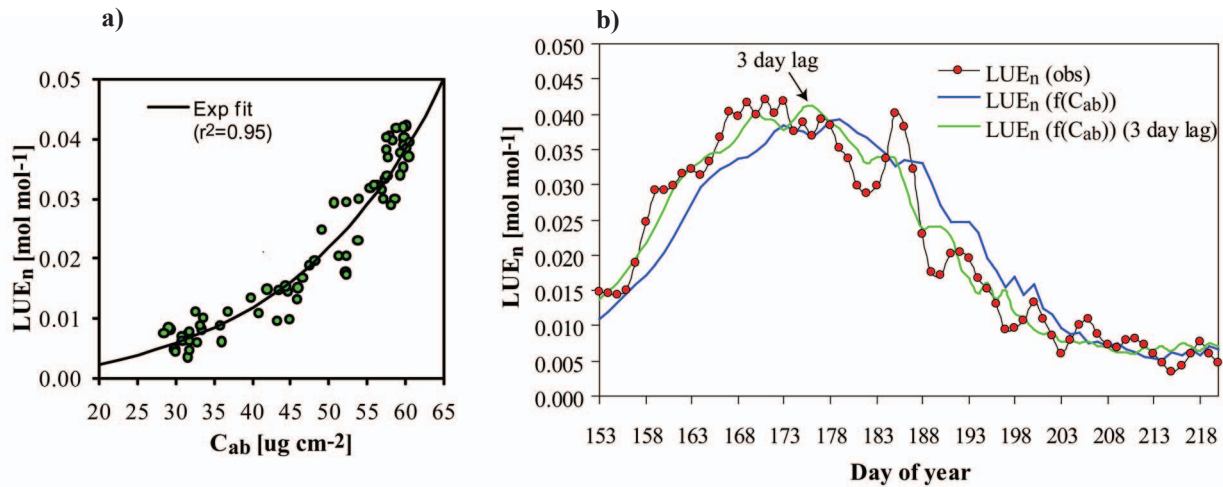
<sup>3</sup>USDA-ARS Hydrology and Remote Sensing Laboratory, Beltsville, MD

## ABSTRACT

The REGularized canopy reFLECTance (REGFLEC) modeling tool integrates leaf optics, canopy reflectance, and atmospheric radiative transfer model components, facilitating accurate retrieval of leaf area index (LAI) and leaf chlorophyll content ( $C_{ab}$ ) directly from at-sensor radiances in green, red and near-infrared wavelengths.  $C_{ab}$  is particularly useful for monitoring vegetation productivity and is an important indicator of the overall plant physiological conditions. This study investigates the utility of REGFLEC retrievals of LAI and  $C_{ab}$  for optimizing  $CO_2$  and energy fluxes simulated by a thermal-based Two-Source Energy Balance (TSEB) model that implements an analytical, light-use-efficiency (LUE) based model of canopy resistance. The LUE model component computes canopy-scale carbon assimilation and transpiration fluxes and incorporates LUE modifications from a nominal (species-dependent) value ( $LUE_n$ ) in response to variations in environmental conditions. However  $LUE_n$  needs adjustment on a daily timescale to accommodate changes in plant phenology, physiological condition and nutrient status. Day to day variations in  $LUE_n$ , assessed for a corn crop field in Maryland U.S.A. through model calibration with  $CO_2$  flux tower observations, were found to correlate well with daily changes in  $C_{ab}$  derived from aircraft radiance observations, and hourly carbon and energy flux estimation accuracies were significantly improved when using  $C_{ab}$  for delineating spatio-temporal variations in  $LUE_n$ . The applicability of the established curvilinear relationship between  $LUE_n$  and  $C_{ab}$  was also tested for an agricultural area near Bushland, Texas.  $LUE_n$  was distributed over the modeling domain using  $C_{ab}$  retrieved from SPOT and Landsat radiance data whereas the thermal input to TSEB was taken from ASTER and Landsat data. The modeled carbon and energy fluxes were compared with eddy covariance measurements made in stands of cotton and wheat and with fluxes measured by an aircraft flying transects over irrigated and non-irrigated agricultural land and natural vegetation. The results demonstrate utility in combining remotely sensed observations in the reflective solar and thermal domains for estimating carbon and water fluxes within a coupled framework.

## 1. INTRODUCTION

Remotely sensed data in the reflective solar domain continue to function as a unique source for providing spatio-temporal information on key biophysical and biochemical parameters of land surface vegetation. Leaf area index (LAI) serves as a key vegetation biophysical determinant for variations in land surface fluxes of carbon, water and energy [1]. Leaf chlorophyll content ( $C_{ab}$ ) is an important indicator of the plant physiological condition;  $C_{ab}$  is connected to leaf nitrogen and photosynthetic capacity of the vegetation and has been found useful for detecting vegetation stress [2] and leaf nitrogen deficiency [3]. The benefits of using thermal infrared remote sensing for monitoring land surface fluxes are well documented [4]. Thermal infrared data provide valuable information about the sub-surface moisture status, obviating the need for precipitation input and prognostic modeling of the soil water balance [5]. Radiometric surface temperatures can be an effective substitute for in-situ surface moisture



**Fig.1** a) Exponential fit between model calibrated  $LUE_n$  and tower footprint weighted aircraft-based  $C_{ab}$  estimates when a 3-day lag between  $LUE_n$  and  $C_{ab}$  is assumed. b) Temporal evolution of model optimized  $LUE_n$  and  $LUE_n$  estimated as an exponential function of  $C_{ab}$  (see left figure). Evidently estimates of  $C_{ab}$  can be very useful for describing variations in  $LUE_n$ , a key input parameter to TSEB.

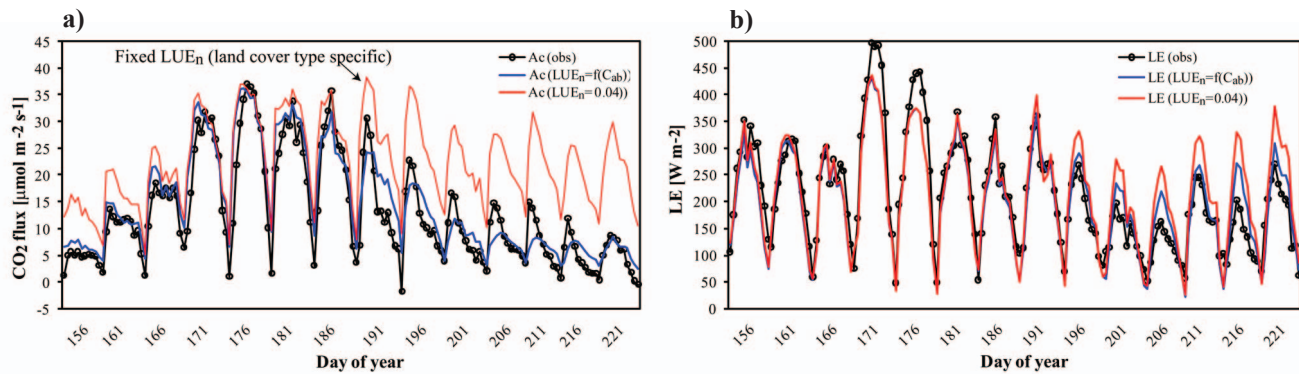
observations [6], and have the ability to capture subtle signatures of soil moisture deficiencies and reduced stomatal aperture [5]. This study aims to use LAI and  $C_{ab}$ , retrieved from aircraft and satellite imagery, to parameterize a thermal-based Two-Source Energy Balance (TSEB) model that implements an analytical, light-use-efficiency (LUE) based model of canopy resistance facilitating coupled carbon and water flux simulations.

## 2. METHODS

Aircraft, satellite and ground-based data were collected in 2007 over a corn crop field located within the USDA-ARS Beltsville Agricultural Research Center, Maryland ( $39.02^\circ$  N,  $76.85^\circ$  W), and in 2008 over an agricultural area in and around the Conservation and Production Research Laboratory, USDA-ARS, Texas ( $35.19^\circ$  N,  $102.06^\circ$  W) during the Bushland Evapotranspiration and Agricultural Remote Sensing EXperiment (BEAREX08).

### 2.1. Image-based LAI and $C_{ab}$ retrievals

Spatial estimates of  $C_{ab}$  and LAI were derived from aerial and satellite imagery using the REGularized canopy REFLECTance modeling tool (REGFLEC) [7,8]. The REGFLEC tool integrates leaf optics (PROSPECT), canopy reflectance (ACRM), and atmospheric radiative transfer (6SV1) model components, facilitating direct use of at-sensor radiance observations in green ( $\sim 510\text{-}580$  nm), red ( $\sim 610\text{-}690$  nm), and near-infrared ( $\sim 800\text{-}900$  nm) wavelengths. REGFLEC adopts a multi-step look-up table based inversion approach and incorporates a number of image-based techniques to reduce the confounding effects of land cover specific vegetation parameters and soil reflectance. Input parameters to the model include the at-sensor radiance observations, atmospheric state parameters to describe atmospheric scattering and absorption characteristics, solar and sensor view angle geometries, and a land cover and soil map. At the Maryland study site, the image-based LAI and  $C_{ab}$  estimates were fused with weekly in-situ measurements in order to generate a continuous spatio-temporal LAI and  $C_{ab}$  record spanning the period from day of year 153 to 220, 2007.



**Fig. 2** Comparison of eddy covariance flux observations (Maryland corn site) against flux estimates derived by running the Two-Source Energy Balance (TSEB) model with a fixed  $LUE_n$  and with a  $LUE_n$  that varied seasonally as a function of aircraft-based leaf chlorophyll ( $C_{ab}$ ) estimates. a) Hourly  $CO_2$  flux estimates optimized using the  $C_{ab}$  timeseries see vast improvements especially during green-up and later in the season when drought conditions prevailed. b) A reduced uncertainty is also observed for the latent heat fluxes.

## 2.2. Correlating $C_{ab}$ with light-use-efficiencies

A land surface model calibration approach was adopted to generate a daily time-series of nominal light-use-efficiencies ( $LUE_n$ ) for comparison with the daily  $C_{ab}$  estimates (section 2.1). This study uses the thermal-based Two-Source Energy Balance land-surface model (TSEB), which is a two-layer (soil and vegetation) land-surface representation coupling fluxes from the soil, plants, and atmosphere. TSEB implements an analytical, light-use-efficiency (LUE) based model of canopy resistance for computing carbon assimilation and transpiration fluxes. The LUE model component is currently set up to incorporate LUE modifications from a nominal (presumably species-dependent) value ( $LUE_n$ ) in response to variations in light environment (direct versus diffuse beam fractions), atmospheric humidity,  $CO_2$  concentration, and soil moisture content. However,  $LUE_n$  may need further adjustment on a daily timescale to accommodate changes in plant phenology, physiological condition, and vegetation nutrient status. A calibration of TSEB against  $CO_2$  flux tower observations was carried out to test the variability in  $LUE_n$  over the study period at the Maryland site (doy 153-220). The temporal evolution of  $LUE_n$  was derived by minimizing the root-mean-square (RMS) deviation between the modeled and observed  $CO_2$  fluxes on a daily timescale.

## 3. RESULTS

Figure 1b plots the daily temporal evolution of nominal LUE values (derived by minimizing the RMS error between model estimated and observed  $CO_2$  fluxes) and corresponding  $LUE_n$  values estimated as a function of image-based (aircraft) estimates of  $C_{ab}$  within the footprint of the flux tower (Fig. 1a). The  $C_{ab}$  estimates are seen to be very useful for tracking the overall trend in  $LUE_n$ ; specifically the rapid increase during leaf expansion and development (doy 153 - 165), peak values during the early stages of leaf maturity and the fairly rapid decrease initiating around doy 185 and continuing through leaf senescence. The good correlation observed between  $LUE_n$  and  $C_{ab}$  for the Maryland corn site suggests utility of leaf chlorophyll estimates for optimizing land surface model estimates of carbon, water and energy exchange. Figure 2 compares TSEB model simulations run with a fixed vegetation-specific  $LUE_n$  (as typically done) and with a  $LUE_n$  that varied seasonally as a function of aircraft-based  $C_{ab}$  estimates against eddy covariance flux observations at the Maryland corn site. The result of assimilating

the  $C_{ab}$  data is a vast improvement in hourly  $CO_2$  flux estimates especially during green-up and later in the season when drought conditions prevailed (Fig. 2a). While  $C_{ab}$  is primarily linked to vegetation productivity (i.e.  $CO_2$  exchange), it also has potential for improving estimates of latent heat flux from the LUE-based Two-Source Energy Balance model (Fig. 2b). Similar analysis on the utility of REGFLEC retrievals of LAI and  $C_{ab}$  for optimizing  $CO_2$  and energy fluxes simulated by the thermal-based TSEB are currently being done for the Texas study site for a wider range of crop types.

## 11. REFERENCES

- [1] R. Houborg, and H. Soegaard, "Regional simulation of ecosystem  $CO_2$  and water vapour exchange for agricultural land using NOAA AVHRR and Terra MODIS satellite data. Application to Zealand, Denmark", *Remote Sens. Environ.* 93, pp. 150-167, 2004.
- [2] P.J. Zarco-Tejada, J.R. Miller, G.H. Mohammed, T.L. Noland, and P.H. Sampson, "Vegetation stress detection through chlorophyll a+b estimation and fluorescence effects on hyperspectral imagery", *J. Environ. Qual.* 31, pp. 1433-1441, 2002.
- [3] C.S.T. Daughtry, C.K. Walthall, M.S. Kim, E. Brown de Costoun, and J.E. McMurtrey III, "Estimating corn leaf chlorophyll concentration from leaf to canopy reflectance", *Remote Sens. Environ.* 74, pp. 229-239, 2000.
- [4] W. Kustas, and M. Anderson, "Advances in thermal infrared remote sensing for land surface modeling", *Agric. For. Meteorol.* 149, pp. 2071-2081, 2009.
- [5] M.C. Anderson, J.M. Norman, W.P. Kustas, R.Houborg, P.J. Starks, and N.Agam, "A thermal-based remote sensing technique for routine mapping of land-surface carbon, water and energy fluxes from field to regional scales", *Remote Sens. Environ.* 112, pp. 4227-4241, 2008.
- [6] X. Zhan, and W.P. Kustas, "A coupled model of land surface  $CO_2$  and energy fluxes using remote sensing data", *Agric. For. Meteorol.* 107, pp. 131-152, 2001.
- [7] R. Houborg, M. Anderson, and C. Daughtry, "Utility of an image-based canopy reflectance modeling tool for remote estimation of LAI and leaf chlorophyll content at the field scale", *Remote Sens. Environ.* 113, pp. 259-274, 2009.
- [8] R. Houborg, and M.C. Anderson, "Utility of an image-based canopy reflectance modeling tool for remote estimation of LAI and leaf chlorophyll content at regional scales", *Journal of Applied Remote Sensing* 3, 033528, 2009.